Notes on Orientifolds

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Virtual meeting -2

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- Superstrings
- Brief mention of Gepner Models

Based on

- R. Blumenhagen, E. Plauschinn Introduction to Conformal Field Theory Springer, 2009
- C.Angelantonj, A.Sagnotti Open Strings, Phys. Rept. **371** (2002), 1-150, hep-th/0204089

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- J.Polchinski String Theory, vol 2 Cambridge University Press, 1998
- E.Kiritsis Introduction to Superstring Theory hep-th/9709062

• Superstring in NSR formulation

$$S = \frac{1}{4\pi\alpha'} \int d^2\xi (\partial_- X^\mu \ \partial_+ X_\mu - i\psi^\mu_- \partial_+ \psi_{-,\mu} - i\psi^\mu_+ \partial_- \psi_{+,\mu})$$

with $\xi^{\pm} = \tau \pm \sigma$ and $\partial_{\pm} = \frac{\partial}{\partial \xi^{\pm}}$. Critical dimension D = 10, i.e, $\mu = 0, ..9$

• Boundary conditions for open string : $\psi^{\mu}_{+}(\tau, 0) = \psi^{\mu}_{-}(\tau, 0)$ and

$$\psi^{\mu}_{+}(\tau,\pi) = \psi^{\mu}_{-}(\tau,\pi) \quad (R)$$
$$\psi^{\mu}_{+}(\tau,\pi) = -\psi^{\mu}_{-}(\tau,\pi) \quad (NS)$$

• Solved by

$$\psi^{\mu}_{\pm} = \frac{1}{\sqrt{2}} \sum_{r} \psi^{\mu}_{r} e^{-ir(\tau \pm \sigma)}$$

where r is integer for R sector and half integer for NS sector.

Superstring, NSR formulation

• For closed string we impose boundary conditions independently for ψ^{μ}_{\pm} and ψ^{μ}_{-}

$$\psi^{\mu}_{+} = \sum_{r} \bar{\psi}^{\mu}_{r} e^{-2ir(\tau+\sigma)}, \quad \psi^{\mu}_{-} = \sum_{r} \psi^{\mu}_{r} e^{-2ir(\tau-\sigma)}$$

Again r is integer for R sector, half integer for NS sector.

- For open string NS sector generates bosons, and R sector generates fermions.
- For closed string (NS-NS) and (R-R) sectors generate bosons, and (R-NS) and (NS-R) sectors generate fermions.
- GSO projection in NS sector (projects out the tachyon)

$$P_{GSO} = \frac{1}{2} (1 - (-1)^{N_F}), \quad N_F = \sum_{r>0} \psi^{\mu}_{-r} \psi_{r\mu}$$

• GSO projection in R sector (a choice of chirality)

$$P_{GSO} = \frac{1}{2} (1 \pm \gamma^{11} (-1)^{N_F}), \quad N_F = \sum_{r>0} \psi^{\mu}_{-r} \psi_{r\mu}$$

- The world-sheet geometry is the same as for the bosonic string.
- We need to compute a sum over 4 spin structures all periodicities in time and space: NS -antiperiodic (in time), NS- periodic, R- antiperiodic, R- periodic.
- $\bullet\,$ These are often denoted as $(-,-),\,(-,+),\,(+,-)$ and (+,+)
- Periodicity in time is achieved by inserting of the operator $(-1)^F$ into pass integral- GSO projection
- The modular transformation is $SL(2, \mathbb{Z})$,

$$(\sigma_1, \sigma_2) \rightarrow (a\sigma_1 + b\sigma_2, c\sigma_1 + d\sigma_2)$$

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Therefore none of the spin structures (except (+,+)) can be modular invariant.

Superstring, Torus amplitude

• We use for bosons and for fermions

$$Tr[q^{\sum_{n=1}^{\infty}\alpha_{-n}\alpha_{n}}] = \prod_{n=1}^{\infty} \frac{1}{1-q^{n}}, \quad Tr[q^{\sum_{n=1}^{\infty}\psi_{-n}\psi_{n}}] = \prod_{n=1}^{\infty}(1+q^{n})$$

• Similarly to the bosonic string in NS sector

$$Tr_{NS}\left[q^{N_B+N_F-\frac{1}{2}}\right] = \frac{\prod_{n=1}^{\infty} (1+q^{n-1/2})^8}{q^{\frac{1}{2}} \prod_{n=1}^{\infty} (1-q^n)^8}$$

• In the R sector

$$Tr_R[q^{N_B+N_F}] = 16 \frac{\prod_{n=1}^{\infty} (1+q^n)^8}{\prod_{n=1}^{\infty} (1-q^n)^8}$$

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16 – because the vaccum is 16 component Majorana - Weyl spinor in $D=10\,$

• Let us insert the GSO projection into the NSR sector

$$Tr\left(\frac{1-(-1)^{F}}{2}q^{N_{B}+N_{F}-\frac{1}{2}}\right) = \frac{\prod_{n=1}^{\infty}(1+q^{n-1/2})^{8}-\prod_{n=1}^{\infty}(1-q^{n-1/2})^{2}}{q^{\frac{1}{2}}\prod_{n=1}^{\infty}(1-q^{n})^{8}}$$

- GSO in the R sector just halves the vacuum degeneracy
- Introducing Jacobi theta functions as

$$\frac{\theta_2^4(0|\tau)}{\eta^{12}(\tau)} = 16 \frac{\prod_{n=1}^{\infty} (1+q^n)^8}{\prod_{n=1}^{\infty} (1-q^n)^8}$$
$$\frac{\theta_3^4(0|\tau)}{\eta^{12}(\tau)} = \frac{\prod_{n=1}^{\infty} (1+q^{n-1/2})^8}{q^{\frac{1}{2}} \prod_{n=1}^{\infty} (1-q^n)^8}, \quad \frac{\theta_4^4(0|\tau)}{\eta^{12}(\tau)} = \frac{\prod_{n=1}^{\infty} (1-q^{n-1/2})^8}{q^{\frac{1}{2}} \prod_{n=1}^{\infty} (1-q^n)^8}$$

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• Let us introduce orthogonal decompositions. In NS sector

$$O_{2n} = \frac{\theta_3^n + \theta_4^n}{2\eta^n}, \quad V_{2n} = \frac{\theta_3^n - \theta_4^n}{2\eta^n}$$

• In R sector

$$S_{2n} = \frac{\theta_2^n + i^{-n} \theta_1^n}{2\eta^n}, \quad C_{2n} = \frac{\theta_2^n - i^{-n} \theta_1^n}{2\eta^n}$$

• They have expansions

$$\begin{aligned} O_{2n} &= q^{h_o - n/24} (1 + n(2n - 1)q + \ldots), \quad V_{2n} &= q^{h_v - n/24} (2n + \ldots) \\ S_{2n} &= q^{h_s - n/24} (2^{n-1} + \ldots), \quad C_{2n} &= q^{h_c - n/24} (2^{n-1} + \ldots) \end{aligned}$$
 with $(h_o, h_v, h_s, h_c) &= (0, 1/2, n/8, n/8)$

• Recall for the Dedekind $\eta(\tau)$ function

$$\frac{1}{\eta^n} = q^{-n/24} (1 + nq + \dots)$$

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• Under T- duality transformations

$$\begin{pmatrix} O_{2n} \\ V_{2n} \\ S_{2n} \\ C_{2n} \end{pmatrix} = e^{-\frac{in\pi}{12}} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & e^{\frac{in\pi}{4}} & 0 \\ 0 & 0 & 0 & e^{\frac{in\pi}{4}} \end{pmatrix} \begin{pmatrix} O_{2n} \\ V_{2n} \\ S_{2n} \\ C_{2n} \end{pmatrix}$$

• Under S- duality tranformations

$$\begin{pmatrix} O_{2n} \\ V_{2n} \\ S_{2n} \\ C_{2n} \end{pmatrix} = e^{-\frac{in\pi}{12}} \begin{pmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & -1 & -1 \\ 1 & -1 & i^{-n} & -i^{-n} \\ 1 & -1 & -i^{-n} & i^{-n} \end{pmatrix} \begin{pmatrix} O_{2n} \\ V_{2n} \\ S_{2n} \\ C_{2n} \end{pmatrix}$$

• Recall also that for the Dedekind $\eta(\tau)$ function

$$T: \eta(\tau+1) = e^{\frac{i\pi}{12}}\eta(\tau)$$

$$S: \eta\left(-\frac{1}{\tau}\right) = \sqrt{-i\tau}\eta(\tau)$$

• Left and right fermions have opposite chirality

$$Z_{IIA} = \frac{1}{(\sqrt{\tau_2}\eta\bar{\eta})^8} (\bar{V}_8 - \bar{S}_8) (V_8 - C_8)$$

• Left and right fermions have the same chirality

$$Z_{IIB} = \frac{1}{(\sqrt{\tau_2}\eta\bar{\eta})^8} (\bar{V}_8 - \bar{S}_8) (V_8 - S_8)$$

- No tachyons. Massless spectra:
- Both theories contain an universal multiplet from $\overline{V}_8 \cdot V_8$ (NS-NS)

$$g_{\mu\nu}, B_{\mu\nu}, \phi$$

• Type IIA/IIB contains odd/even forms -potentials- $A_{\mu}, A_{\mu\nu\rho}/A, A_{\mu\nu}, A^{+}_{\mu\nu\rho\sigma}$ in the RR sector from $\bar{S}_8 \cdot C_8/\bar{S}_8 \cdot S_8$,

• Type IIA contains two gravitini of opposite chirality to each other, and two spin 1/2 fields with opposite chirality to each other from $\bar{V}_8 \cdot C_8$ and form $\bar{S}_8 \cdot V_8$ (NS-R and (R-NS) sectors)

$$\psi^1_{a,\mu}, \psi^2_{\bar{a},\mu}, \lambda^1_{\bar{a}}, \lambda^2_a$$

• Type IIB contains two gravitini of the same chirality, and two spin 1/2 fields with the chirality (which is opposite to the one of the gravitini) from $\bar{V}_8 \cdot S_8$ and form $\bar{S}_8 \cdot V_8$ (NS-R and (R-NS) sectors)

$$\psi^1_{a,\mu}, \psi^2_{a,\mu}, \lambda^1_{\bar{a}}, \lambda^2_{\bar{a}}$$

- Type II A is trivially anomaly free, type IIB is anomaly free in a nontrivial way
- There are two more ten dimensional supersymmetric string theories heterotic strings with gauge groups $E_8 \otimes E_8$ and SO(32). Their massless spectra corresponds to $D = 10 \ N = 1 \ \text{SUGRA} + \text{SYM}$

Orientifold, Type I theory

- Type IIB is left right invariant, therefore we can mode it out by the action of the Ω operator
- In the massless spectrum we have for bosons

$$g_{\mu\nu}, \phi, A_{\mu\nu}$$

• For fermions

$$\psi'_{a,\mu}, \lambda'_{\bar{a}}$$

- This theory will be anomalous, unless we add Super Yang Mills i.e. open string sector (see the part I)
- We have for the Klein bottle, cylinder Mobius strip amplitudes

$$\mathcal{K} = \int_{0}^{\infty} \frac{d\tau_{2}}{\tau_{2}^{2}} \frac{(V_{8} - S_{8})(2i\tau_{2})}{\tau_{2}^{4}\eta^{8}(2i\tau_{2})}$$
$$\mathcal{A} = \mathcal{N}^{2} \int_{0}^{\infty} \frac{d\tau_{2}}{\tau_{2}^{2}} \frac{(V_{8} - S_{8})(i\tau_{2}/2)}{\tau_{2}^{4}\eta^{8}(i\tau_{2}/2)}$$
$$\mathcal{M} = \epsilon \mathcal{N} \int_{0}^{\infty} \frac{d\tau_{2}}{\tau_{2}^{2}} \frac{(\hat{V}_{8} - \hat{S}_{8})(i\tau_{2}/2 + 1/2)}{\tau_{2}^{4}\hat{\eta}^{8}(i\tau_{2} + 1/2)}$$

Type I, Tadpole cancellation

• As in the case of the bosonic string we can transform to the transverse channel, using modular transformations

$$\hat{\mathcal{K}} = 2^5 \int_0^\infty dl \, \frac{(V_8 - S_8)(il)}{\eta^8(il)}, \quad \hat{\mathcal{A}} = 2^{-5} \mathcal{N}^2 \int_0^\infty dl \, \frac{(V_8 - S_8)(il)}{\eta^8(il)}$$
$$\hat{\mathcal{M}} = 2\epsilon \mathcal{N} \int_0^\infty dl \, \frac{(\hat{V}_8 - \hat{S}_8)(il + 1/2)}{\hat{\eta}^8(il + 1/2)}$$

• We have NS-NS tadpole and R-R tadpole generated by a nondynamical 10-form $a_{[10]}$. The later can have a term in the action

$$\mu_{10} \int a_{[10]}$$

• Tadpole cancellation: expanding the characters and collecting the coefficients for singular (massless) terms we get

$$\hat{\mathcal{K}} + \hat{\mathcal{A}} + \hat{\mathcal{M}} \sim (2^5 + 2^{-5}\mathcal{N}^2 + 2\epsilon\mathcal{N})$$

It is zero if $\epsilon = -1$ and $\mathcal{N} = 2^5$ i.e. the gauge group is SO(32).

Compactification

• World – sheet and space – time supersymmetries are connected. For D = 4, N = 1 SUSY algebra

$$\{Q_{\alpha}, Q_{\bar{\alpha}}\} = (\sigma^{\mu})_{\alpha\bar{\alpha}}P_{\mu}, \quad \{Q_{\alpha}, Q_{\beta}\} = \{Q_{\bar{\alpha}}, Q_{\bar{\beta}}\} = 0,$$

These supecharges can be realized in terms of space time spinor operators S_α, C_ā, Internal CFT operators Σ, and ghosts φ

$$Q_{\alpha} = \frac{1}{2\pi i} \oint dz \, e^{-\varphi/2} S_{\alpha} \Sigma, \quad Q_{\bar{\alpha}} = \frac{1}{2\pi i} \oint dz \, e^{-\varphi/2} C_{\bar{\alpha}} \bar{\Sigma}$$

with

$$: e^{q_1\varphi(z)}e^{q_2\varphi(w)} := (z-w)^{-q_1q_2} : e^{-(q_1+q_2)\varphi(w)} : + \dots$$

$$S_{\alpha}(z)C_{\bar{\alpha}}(w) = \frac{1}{\sqrt{2}}(\sigma^{\mu})_{\alpha\bar{\alpha}}\psi_{\mu}(w) + \mathcal{O}(z-w),$$

$$S_{\alpha}(z)S_{\beta}(w) = \frac{\epsilon_{\alpha\beta}}{\sqrt{z-w}} + \mathcal{O}(\sqrt{z-w}),$$

$$\Sigma(z)\bar{\Sigma}(w) = \frac{1}{(z-w)^{3/4}} + (z-w)^{1/4}J(w) + \dots$$

$$I(x) \text{ is } U(1) \text{ summat} (\mathbf{P} \text{ summatur})$$

where J(x) is U(1) current (R-symmetry)

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• Requirement of the BRST invariance $(\oint dz e^{-\varphi/2} G)$, where G is a supercurrent) of the fermion vertex operators Q_{α} and $Q_{\bar{\alpha}}$ means

$$G_{int.}(z)\Sigma(w) \sim (z-w)^{-1/2}, \quad G_{int.}(z)\bar{\Sigma}(w) \sim (z-w)^{-1/2}$$

• Finally, introducing an operator X(z)

$$\langle X(z)X(w)\rangle = -\log(z-w)$$

and expressing

$$J = i\sqrt{3}\partial X, \quad \Sigma = e^{i\sqrt{3}X/2}, \quad T_{int} = -\frac{(\partial X)^2}{2}$$

one can show that, $J, G_{int.} = G_{int.}^+ + G_{int.}^-$ and T_{int} form N = 2 superconformal algebra

• D = 4, N = 1 space-time SUSY implies N = 2 world-sheet SUSY

N=2 Superconformal algebra

- Internal CFT has the central charge equal to $9 = 6_{bos.} + 3_{Ferm.}$
- Representations of N = 2 superconformal algebra; there exist series of models with

$$c = \frac{3k}{k+2}, \quad k \ge 1$$

• For each k there exists a finite number of HW representations, specified by the weight h and U(1) charge q

$$h_{m,s}^{l} = \frac{l(l+2) - m^2}{4(k+2)}, \quad q_{m,s} = -\frac{m}{k+2} + \frac{s}{2}$$

where, l, m and s are integers, constrained as

$$0 \le l \le k, \quad 0 \le |m - s| \le l, \quad s = 0, 2/\pm 1 \quad (NS)/R$$

• Gepner construction: Take a tensor product several such models so that

$$\sum_{i=1}^{r} c_i = \sum_{i=1}^{r} \frac{3k_i}{k_i + 2} = 9$$

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Constructing the models

- We'll be very sketchy and give some essential ingredients
- Recall the fusion rules for conformal families $[\phi_i]$

$$[\phi_i] \times [\phi_j] = \sum_k N_{ij}^k [\phi_k]$$

• These are obtained from the S matrix of the particular model and the Verlinde formula (we have χ_i characters i = 0, ..N - 1)

$$N_{ij}^k = \sum_{m=0}^{N-1} \frac{S_{im} S_{jm} S_{mk}^\star}{S_{om}}$$

• Simple currents: They are primary fields whose OPE with other primary fields involves one other particular primary field

$$[J_a] \times [\phi_i] = [\phi_j]$$

• Simple currents allow to build modular invariant partition functions form "diagonal" partition functions

$$Z = \sum \chi_i(\tau) M_{ij}^{(a)} \overline{\chi}_j(\tau)$$

Constructing the models, boundary states

- The boundary state in RCFT must be conformally invariant and invariant under extended symmetries
- In general they have form

$$|B_{\alpha}\rangle = \sum_{i} B_{\alpha}^{i} |\mathcal{B}_{i}\rangle$$

 B^i_{α} are called reflection coefficients restricted as (Cardy condition)

$$n^j_{\alpha\beta} = \sum_i B^i_\alpha B^i_\beta S_{ij} = 0, 1, 2, \dots$$

• With simple current extension we have boundary states

$$|B_{\alpha}^{j}\rangle = \sum_{k=0}^{L-1} |J^{k}B_{\alpha}\rangle$$

L -length of the orbit of the simple current $[J]^L = [1]$.

- Similarly for croscap states.
- Need to impose tadpole cancellation condition. $(\Box \rightarrow \langle \Box \rangle \land \exists z : \exists$

THANK YOU!!!

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