## Notes on Orientifolds

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Virtual meeting -1

#### Plan

- Bosonic string orientifolds:
- Short Mention of the boundary state formalism
- Superstrings
- Gepner Models (Tentatively)

#### Based on

- R. Blumenhagen, E. Plauschin Introduction to Conformal Field Theory. Springer, 2009
- C.Angelantonj, A.Sagnotti
   Open Strings,
   Phys. Rept. 371 (2002), 1-150, hep-th/0204089
- P. di Vecchia, A. Liccardo
   D Branes in String Theory, I hep-th/9912161

## Torus Amplitude

- Closed bosonic string D = 26, Torus amplitude.
- Line element

$$ds^{2} = \frac{1}{\tau_{2}} |d\sigma_{1} + \tau d\sigma_{2}|^{2}, \quad 0 \le \sigma_{1,2} \le 1$$
$$\omega = \sigma_{1} + \tau \sigma_{2}, \quad ds^{2} = \frac{d\omega d\bar{\omega}}{\tau_{2}}$$

- $\bullet$   $\tau$  complex structure parametrizes unequivalent Tori.
- Coordinates on a Torus are periodically identified

$$\omega \sim \omega + m$$
,  $\omega \sim \omega + n\tau$ 

m, n are integer

• Modular group

$$T: \quad \tau \to \tau + 1$$
  
 $S: \quad \tau \to -\frac{1}{\tau}$ 

• S and T form a modular group  $SL(2, \mathbb{Z})$  with

$$S^2 = (ST)^3 = 1$$



## Torus Amplitude

- Put a point on a string on a horizontal axis. It propagates upwards in time for  $\omega_0 = 2\pi\tau_2$ . It shifts in the space by  $\omega_1 = 2\pi\tau_1$ , where  $\tau = \tau_1 + i\tau_2$
- Time translations in CFT are generated by  $H = L_0 + \tilde{L}_0 2$ , space translations are generated by  $P = L_0 + \tilde{L}_0$
- We have a path integral

$$Z = Tr[e^{-2\pi\tau_2 H} e^{2\pi i \tau_1 P}] = Tr[q^{L_0 - 1} \bar{q}^{L_0 - 1}], \quad q = e^{2\pi i \tau}$$

• Integrating over modular parameter and using  $L_0 = \frac{1}{2} \sum_{m=-\infty}^{\infty} \alpha_{-m} \alpha_m$ 

$$Z = \int_{\mathcal{F}} d^2 \tau \frac{1}{q\bar{q}} \int d^{24} p \, e^{-\pi \tau_2 p^2/2} \, Tr[q^N \bar{q}^{\tilde{N}}],$$



## Torus Amplitude

• Performing Gaussian integral over the  $p^2$  and using

$$Tr[q^N] = Tr[q^{\sum_{n=1}^{\infty} \alpha_{-n} \alpha_n}] = \prod_{n=1}^{\infty} \frac{1}{1 - q^n}$$

we finally get

$$Z = \int_{\mathcal{F}} \frac{d^2 \tau}{\tau_2^2} \frac{1}{\tau_2^{12} (\eta(\tau) \overline{\eta(\tau)})^{24}}$$

where  $\eta(\tau)$  is a Dedekind function

$$\eta(\tau) = q^{1/24} \prod_{n=1}^{\infty} (1 - q^n)$$

• Under modular transformations

$$\eta(\tau+1) = e^{i\pi/12}\eta(\tau), \quad \eta\left(-\frac{1}{\tau}\right) = \sqrt{-i\tau}\eta(\tau)$$

• The fundamental domain  $\mathcal{F}: |\tau| \geq 1$  and  $-\frac{1}{2} \leq \tau_1 \leq \frac{1}{2}$ 



• From the partition function we can read the spectrum. Expanding

$$\frac{1}{(\eta\bar{\eta})^{24}} = \frac{1}{q\bar{q}} \left( 1 + (24)^2 q\bar{q} + \ldots \right) \sim |0,\tilde{0}\rangle + \alpha_{-1}^i \bar{\alpha}_{-1}^j |0,\tilde{0}\rangle + \ldots$$

i.e. we have a tachyon (negative mass),  $(g_{mn}, B_{mn}, \phi)$ -zero mass, + massive fields (positive powers of  $q\bar{q}$ )

• We have symmetry that interchanges left and right modes:

$$\Omega: q \Leftrightarrow \bar{q}, \quad \Omega^2 = 1$$

• This operation changes the orientation of the string. We can project onto symmetrical states using

$$P_{+} = \frac{1+\Omega}{2}$$

- Tachyon survives the projection (no oscillators)
- On the massless level

$$(\alpha_{-1}^{i}\bar{\alpha}_{-1}^{j} + \alpha_{-1}^{j}\bar{\alpha}_{-1}^{i})|0,\tilde{0}\rangle \rightarrow g_{mn}, \phi \quad present$$

$$(\alpha_{-1}^{i}\bar{\alpha}_{-1}^{j} - \alpha_{-1}^{j}\bar{\alpha}_{-1}^{i})|0,\tilde{0}\rangle \rightarrow B_{mn} \quad absent$$

#### Partition Function

• Consider relevant partition function

$$Tr\left(q^{L_0-1}(\bar{q})^{\bar{L}_0-1}\left(\frac{1+\Omega}{2}\right)\right) =$$

$$= \frac{1}{2}Tr\left(q^{L_0-1}(\bar{q})^{\bar{L}_0-1}\right) + \frac{1}{2}Tr\left(q^{L_0-1}(\bar{q})^{\bar{L}_0-1}\Omega\right) = \frac{1}{2}\mathcal{T} + \frac{1}{2}\mathcal{K}$$

• A state for a closed string

$$|L,R\rangle = \prod_{i} \alpha^{i} \prod_{j} \bar{\alpha}^{j} |0,\tilde{0}\rangle, \quad \Omega|L,R\rangle = |R,L\rangle$$

• Therefore for the Klein bottle amplitude

$$\sum_{L,R} \langle L,R|q^{L_0-1}\bar{q}^{\bar{L}_0-1}|R,L\rangle = \sum_L \langle L,L|(q\bar{q})^{L_0-1}|L,L\rangle$$

• Finally since  $q\bar{q}=e^{2\pi i(2i\tau_2)}$  and  $Tr(q^{L_0-1})=\frac{1}{\eta^{24}(q)}$  we get



• Partition function

$$Z = \frac{1}{2} \int_{\mathcal{F}} \frac{d^2 \tau}{\tau_2^2} \frac{1}{\tau_2^{12} (\eta \bar{\eta})^{24}} + \frac{1}{2} \int_0^{\infty} \frac{d\tau_2}{\tau_2^2} \frac{1}{\tau_2^{12} \eta^{24} (2i\tau_2)} = \frac{1}{2} \mathcal{T} + \frac{1}{2} \mathcal{K}$$

- Fundamental polygon of the Klein bottle is similar to Torus, (draw a rectangular with arrows on their sides: three clockwise, one vertical right counterclockwise). The vertical side is  $i\tau_2$  -the time, the horizontal side is 1.
- For Torus polygon bottom and the left sides are clockwise, top and the right sides counterclockwise
- There is an alternative option for the time a) take a Klein bottle polygon, keep the arrows. b) divide it in half using the vertical line at 1/2 c) Lift the right half up by  $i\tau_2$ . d) Flip the right half to the left so you match the arrows.
- The horizontal side has the length 1/2 the vertical one  $2i\tau_2$ . We have a cylinder with two crosscaps instead of the boundaries

#### Klein bottle

Torus is a double cover of the Klein bottle when the lattice shift is accompanied with

$$z \to 1 - \bar{z} + i\tau_2$$

In order to obtain the transverse channel amplitude we first change variables  $t=2 au_2$ 

$$\mathcal{K} = \int_0^\infty \frac{d\tau_2}{\tau_2^2} \frac{1}{\tau_2^{12} \eta^{24} (2i\tau_2)} = 2^{13} \int_0^\infty \frac{dt}{t^{14}} \frac{1}{\eta^{24} (it)}$$

Then we perform modular transformations t = 1/l

$$\tilde{\mathcal{K}} = 2^{13} \int_0^\infty dl \, \frac{1}{\eta^{24}(il)}$$

Consider divergences  $\tau_2 \to \infty$  (which is  $l \to 0$ ) and  $\tau_2 \to 0$  (which is  $l \to \infty$ ) The first limit is protected by the power of  $\tau_2$ . We consider the second limit. It is convenient to consider it in the transverse channel. We have

$$\int_0^\infty dl \left( e^{2\pi l} + 24 + \sum_{n=1} a_n e^{-2\pi n l} \right)$$

#### Divergences

- Here *l* is a Schwinger parameter
- Massive states give contribution

$$\int_0^\infty dl \, e^{-M^2 l} = \frac{1}{M^2}$$

- Their contribution is important when  $l \leq \frac{1}{M^2}$  but here we are considering large l.
- Therefore we should worry about massless modes
- To this end we should include all diagrams with Euler number zero

$$\chi = 2 - 2h - b - c$$

where h- handles , b - boundaries, c - crosscaps

 $\bullet$  Torus (h=1), Klein bottle (c=2), Mobius strip (b=1,c=1), cylinder (b=1,c=1)

## Open Strings: Chan-Paton factors

• Open strings have extra (non-dynamical) degrees of freedom attached to the ends. The string state has the form

$$|\vec{m}, i, j\rangle = |\vec{m}\rangle \otimes |i, j\rangle, \quad i, j = 1, ..., \mathcal{N}$$

• The orientation operator  $\Omega$  acts as follows

$$\Omega|i,j\rangle = \sum_{i,j=1}^{N} \gamma_{ja}|a,b\rangle(\gamma^{-1})_{bi}$$
 (1)

• From the requirement  $\Omega^2 = 1$  and the relation  $\langle a, b | i, j \rangle = \delta_{ai} \delta_{bj}$  we get  $\gamma^T = +\gamma$ 

Therefore we can have only  $SO(\mathcal{N})$  and  $Sp(\mathcal{N})$  groups.

• Computing the contribution

$$\sum_{i,j}^{\mathcal{N}} \langle i,j | | i,j \rangle = \mathcal{N}^2, \quad \sum_{i,j}^{\mathcal{N}} \langle i,j | \Omega | i,j \rangle = Tr(\gamma^T \gamma^{-1}) = \pm \mathcal{N}$$

Where the upper sign is for  $SO(\mathcal{N})$ 



#### a Cylinder

• A cylinder can be obtained from its double-cover - a torus. Take rectangular with coordinates  $(0,0), (0,i\tau_2), (1,i\tau_2), (1,0)$  - torus. Double it horizontally and mode it by

$$z \to -\bar{z}, \quad z \to 2 - \bar{z}$$

- Both cylinder and Klein bottle have their modular parameter pure imaginary.
- Direct channel amplitude represents the open string

$$\mathcal{A} = \frac{\mathcal{N}^2}{2} \int_0^\infty \frac{d\tau_2}{\tau_2^{14}} Tr\left(q^{(N-1)/2}\right) = \frac{\mathcal{N}^2}{2} \int_0^\infty \frac{d\tau_2}{\tau_2^{14}} \frac{1}{\eta^{24} (i\tau_2/2)}$$

• The transverse channel represents the close string propagating between two boundaries. To get it first choose  $t = \tau_2/2$  and then modular transform (S-transformation) l = 1/t

$$\tilde{\mathcal{A}} = \frac{\mathcal{N}^2 2^{-13}}{2} \int_0^\infty dl \frac{1}{\eta^{24} (i\tilde{l})}$$

## ${\bf Mobius\ strip}$

 Mobius strip has a double cover -Torus whose modular parameter has both real and imaginary parts

$$\tau = \frac{1}{2} + \frac{i\tau_2}{2}$$

• One can compute traces directly: Insert  $\Omega$  in the cylinder amplitude, note that  $-\sigma_{open} \sim \pi - \sigma_{open}$  means

$$\Omega \alpha_n \Omega^{-1} = \pm (-1)^n \alpha_n$$

• Often written in terms of modified characters

$$\hat{\chi}(i\tau_2 + \frac{1}{2}) = q^{h-c/24} \sum_k (-1)^k d_k q^k$$

with  $q = e^{-2\pi\tau_2}$ 

$$\mathcal{M} = \frac{\epsilon \mathcal{N}}{2} \int_0^\infty \frac{d\tau_2}{\tau_2^{14}} \frac{1}{\hat{\eta}^{24} (i\tau_2/2 + 1/2)}, \quad \epsilon = \pm 1$$

• The transformation for transverse channel is done using

$$P = TST^2S$$

## Tadpole cancellation

• The Mobius strip amplitude in the transverse channel

$$\hat{\mathcal{M}} = 2\frac{\epsilon \mathcal{N}}{2} \int_0^\infty dl \frac{1}{\hat{\eta}^{24}(il+1/2)}, \quad \epsilon = \pm 1$$

• For open string (direct channel) -analogous to the closed string

$$Z = \frac{1}{2}\mathcal{A} + \frac{1}{2}\mathcal{M}$$

- To summarize Transverse channel is always closed string propagating between two boundaries (cylinder), two crosscaps (Klein bottle) and boundary and crosscap (Mobius strip)
- Direct channel is closed string (Klein bottle) and open string (cylinder, Mobius strip)
- Tadpole cancellation: expanding  $\eta$  function and collecting the coefficients for singular (massless) terms we get

$$\hat{\mathcal{K}} + \hat{\mathcal{A}} + \hat{\mathcal{M}} \sim (2^{13} + 2^{-13}\mathcal{N}^2 - 2\epsilon\mathcal{N})$$

It is zero if  $\epsilon = 1$  and  $\mathcal{N} = 2^{13}$  i.e. the gauge group is  $SO(2^{13})$ .



#### Boundary State Formalism

- It is similar to the one we just described.
- Consider Dp brane. In terms of open string coordinates we have

$$\partial_{\sigma} X^{a}|_{\sigma=0} = 0, \quad a = 0, 1..., p \quad (N)$$
  
 $X^{i}|_{\sigma=0} = y^{i}, \quad i = p+1, ..., d-1 \quad (D)$ 

• Let us go to the closed string channel. To this end  $(\sigma, \tau) \Leftrightarrow (\tau, \sigma)$ . Therefore we get for the closed string coordinate

$$\partial_{\tau} X^{a}|_{\tau=0}|B_{X}\rangle = 0, \quad a = 0, 1..., p$$
 
$$X^{i}|_{\tau=0}|B_{x}\rangle = y^{i}|B_{x}\rangle, \quad i = p+1, ..., d-1$$

Using

$$X^{\mu} = q^{\mu} + 2\alpha' p^{\mu} + i\sqrt{\frac{\alpha'}{2}} \sum_{n \neq 0} \left( \frac{\alpha_n^{\mu}}{n} e^{-2in(\tau - \sigma)} + \frac{\bar{\alpha}_n^{\mu}}{n} e^{-2in(\tau + \sigma)} \right)$$

#### Boundary State Formalism

• We get in terms of closed string oscillators

$$(\alpha_n^a + \bar{\alpha}_{-n}^a)|B_x\rangle = 0, \quad (\alpha_n^i - \bar{\alpha}_{-n}^i)|B_x\rangle = 0$$
  
$$p^a|B_x\rangle = 0, \quad (q^i - y^i)|B_x\rangle = 0$$

Introducing

$$S^{\mu\nu}=(\eta^{ab},-\delta^{ij})$$

We can write a solution

$$|B_x\rangle = N_p \delta^{(d-p-1)}(q^i - y^i) \prod_{n=1}^{\infty} e^{-\frac{1}{n}\alpha_{-n}S\bar{\alpha}_{-n}}|0,\tilde{0}\rangle$$

ullet Using the closed string propagator D one can write a cylinder amplitude

$$\langle B_x | D | B_x \rangle$$

#### Crosscap state

• Similarly for closed string coordinate we have

$$X(\tau,\sigma)|C\rangle = X(\tau,\sigma+\pi)|C\rangle$$

$$\partial_{\sigma}X(\tau,\sigma)|C\rangle = \partial_{\sigma}X(\tau,\sigma+\pi)|C\rangle, \quad \partial_{\tau}X(\tau,\sigma)|C\rangle = -\partial_{\tau}X(\tau,\sigma+\pi)|C\rangle$$

• This leads to

$$(\alpha_n + (-1)^n \bar{\alpha}_{-n}) | C \rangle = 0$$

• It is solved by

$$|C\rangle = Nexp\left(-\sum_{k=1}^{\infty} \frac{(-1)^k}{k} \alpha_{-k} \bar{\alpha}_{-k}\right) |0, \tilde{0}\rangle$$



# THANK YOU!!!