

Yang-Baxter sigma models from 4D Chern-Simons theory



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0. Introduction

Our interest here

Construct a unified way to describe the 2D integrable models

Why is this issue so important? (My personal point of view)

In the study of integrable systems, integrable models are discovered suddenly and when a certain amount of them have been obtained, beautiful universal structures behind them are extracted such as Yang-Baxter equation.

Even now, new integrable models are being discovered one after another. But we did not know a method to describe everything from the traditional integrable models to the latest new types of models in a unified manner.

If this is compared to the study of elementary particle physics, the discovery of an integrable model corresponds to that of a new particle, and its unified theory corresponds to finding a unified model of elementary particles (though this theory would be replaced by a larger new theory, subsequently,,,) .

The candidate of the unified theory

4D Chern-Simons (CS) theory

$$S[A] = \frac{i}{4\pi} \int_{\mathcal{M} \times \mathbb{C}P^1} \omega \wedge CS(A)$$

[Costello-Yamazaki, 1908.02289]

c.f. Costello-Yamazaki-Witten,
1709.09993, 1802.01579

A takes a value in Lie algebra $\mathfrak{g}^{\mathbb{C}}$ of a semi-simple Lie group $G^{\mathbb{C}}$

\mathcal{M} : a 2D surface with the coordinates (τ, σ) . z is a coordinate of $\mathbb{C}P^1$.

$$CS(A) \equiv \left\langle A, dA + \frac{2}{3}A \wedge A \right\rangle \quad : \text{Chern-Simons 3-form}$$

$$\omega \equiv \varphi(z)dz \quad : \text{a meromorphic 1-form}$$

This 1-form is closely related to the integrable structure of 2D integrable sigma model (ISM) to be derived.

The recipe to derive 2D ISMs from 4D CS

1. Prepare a meromorphic 1-form.

The structure of poles and zeros determines the resulting 2D ISM.

2. Take a boundary condition for the gauge field A .

Possible boundary conditions are governed by the equation of motion.

3. Reduce 4D CS to a 2D system by following a procedure.

There are some reduction methods. Take one of them as you like.

As a result, we see that the resulting 2D system is classically integrable because the associated Lax pair can be constructed along this way.

The content of my talk

Explain how to derive 2D ISMs from 4D CS by taking a reduction method developed by Delduc-Lacroix-Magro-Vicedo (DLMV)

[Delduc-Lacroix-Magro-Vicedo, 1909.13824]

1. A reduction method by DLMV

2. Concrete examples: 2D principal chiral model
Yang-Baxter sigma models

A brief summary of my related works

[Fukushima-Sakamoto-KY]

3. Summary and discussion

1. A reduction method by DLMV

A reduction method by DLMV

[Delduc-Lacroix-Magro-Vicedo, 1909.13824]

Our starting point:

$$S[A] = \frac{i}{4\pi} \int_{\mathcal{M} \times \mathbb{C}P^1} \omega \wedge CS(A) , \quad CS(A) \equiv \left\langle A, dA + \frac{2}{3} A \wedge A \right\rangle$$

$$\omega \equiv \varphi(z) dz \quad : \text{ a meromorphic 1-form}$$

This action has an extra gauge symmetry:

$$A \mapsto A + \chi dz$$

Hence the z -component can always be gauged away:

$$A = A_\sigma d\sigma + A_\tau d\tau + A_{\bar{z}} d\bar{z}$$

Equations of motion:

$$\omega \wedge F(A) = 0 \quad (\text{bulk eom})$$



Species of 2D ISM

$$d\omega \wedge \langle A, \delta A \rangle = 0 \quad (\text{boundary eom})$$



Integrable deformations

NOTE 1 : If φ is smooth, the boundary eom is trivially satisfied.

But now
$$d\omega = \partial_{\bar{z}}\varphi(z) d\bar{z} \wedge dz \quad \text{i.e.,} \quad \partial_{\bar{z}}\frac{1}{z} = 2\pi\delta(z, \bar{z})$$

and hence a delta function may appear if φ has a pole.

NOTE 2 : From the bulk eom, the zeros of φ are also important because a derivative of A may be a distribution, i.e., $x \delta(x) = 0$.

Let us introduce the following notation:

\mathfrak{p} : set of poles of φ \mathfrak{z} : set of zeros of φ

NOTE3: The boundary eom has the support only on $\mathcal{M} \times \mathfrak{p} \subset \mathcal{M} \times \mathbb{C}P^1$.

Indeed, it can be rewritten as

$$\sum_{x \in \mathfrak{p}} \sum_{p \geq 0} (\text{res}_x \xi_x^p \omega) \epsilon^{ij} \frac{1}{p!} \partial_{\xi_x}^p \langle A_i, \delta A_j \rangle |_{\mathcal{M} \times \{x\}} = 0$$

Here the local holomorphic coordinates ξ_x are defined as

$$\xi_x \equiv z - x \quad (x \in \mathfrak{p} \setminus \{\infty\}), \quad \xi_\infty \equiv 1/z$$

Lax form

Let us perform a formal gauge transformation:

$$A = -d\hat{g}\hat{g}^{-1} + \hat{g} \mathcal{L} \hat{g}^{-1} \quad \text{a smooth function } \hat{g} : \mathcal{M} \times \mathbb{C}P^1 \rightarrow G^{\mathbb{C}}$$

Then the \bar{z} -component of \mathcal{L} can be removed as $\mathcal{L}_{\bar{z}} = 0$

Then the Lax form is given by

$$\mathcal{L} \equiv \mathcal{L}_\sigma d\sigma + \mathcal{L}_\tau d\tau \quad (\text{to be identified with Lax of 2D ISM})$$

The bulk eom leads to

$$\partial_\tau \mathcal{L}_\sigma - \partial_\sigma \mathcal{L}_\tau + [\mathcal{L}_\tau, \mathcal{L}_\sigma] = 0 \quad \longrightarrow \quad \text{Flatness condition}$$

$$\omega \wedge \partial_{\bar{z}} \mathcal{L} = 0$$

NOTE: the set of zeros of φ is that of poles of \mathcal{L}

For simplicity, we assume below that φ has

at most **first-order zero** & at most **double poles**

The ansatz for Lax form

$$\mathcal{L} = \sum_{i \in \mathfrak{z}} V^i(\tau, \sigma) \xi_i^{-1} d\sigma^i + U_\sigma(\tau, \sigma) d\sigma + U_\tau(\tau, \sigma) d\tau$$

Here $V^i(\tau, \sigma)$ ($i = +, -$), $U_\tau(\tau, \sigma)$, $U_\sigma(\tau, \sigma)$ are smooth functions.

$$\sigma^\pm = \frac{1}{2}(\tau \pm \sigma)$$

These functions are unknown functions at this moment and **to be determined** from a boundary condition for the gauge field.

Later, we will see how to do it for 2D principal chiral model concretely.

The original 4D CS can be rewritten as

$$S[A] = -\frac{i}{4\pi} \int_{\mathcal{M} \times \mathbb{C}P^1} \omega \wedge d\langle \hat{g}^{-1} d\hat{g}, \mathcal{L} \rangle - \frac{i}{4\pi} \int_{\mathcal{M} \times \mathbb{C}P^1} \omega \wedge I_{\text{WZ}}[\hat{g}]$$

$$I_{\text{WZ}}[u] \equiv \frac{1}{3} \langle u^{-1} du, u^{-1} du \wedge u^{-1} du \rangle$$

To reduce this 4D action to a 2D theory, let us suppose

the archipelago conditions:

There exist open disks V_x, U_x for each $x \in \mathfrak{p}$ such that $\{x\} \subset V_x \subset U_x$ and

i) $U_x \cap U_y = \emptyset$ if $x \neq y$ for all $x, y \in \mathfrak{p}$

ii) $\hat{g} = 1$ outside $\mathcal{M} \times \bigcup_{x \in \mathfrak{p}} U_x$

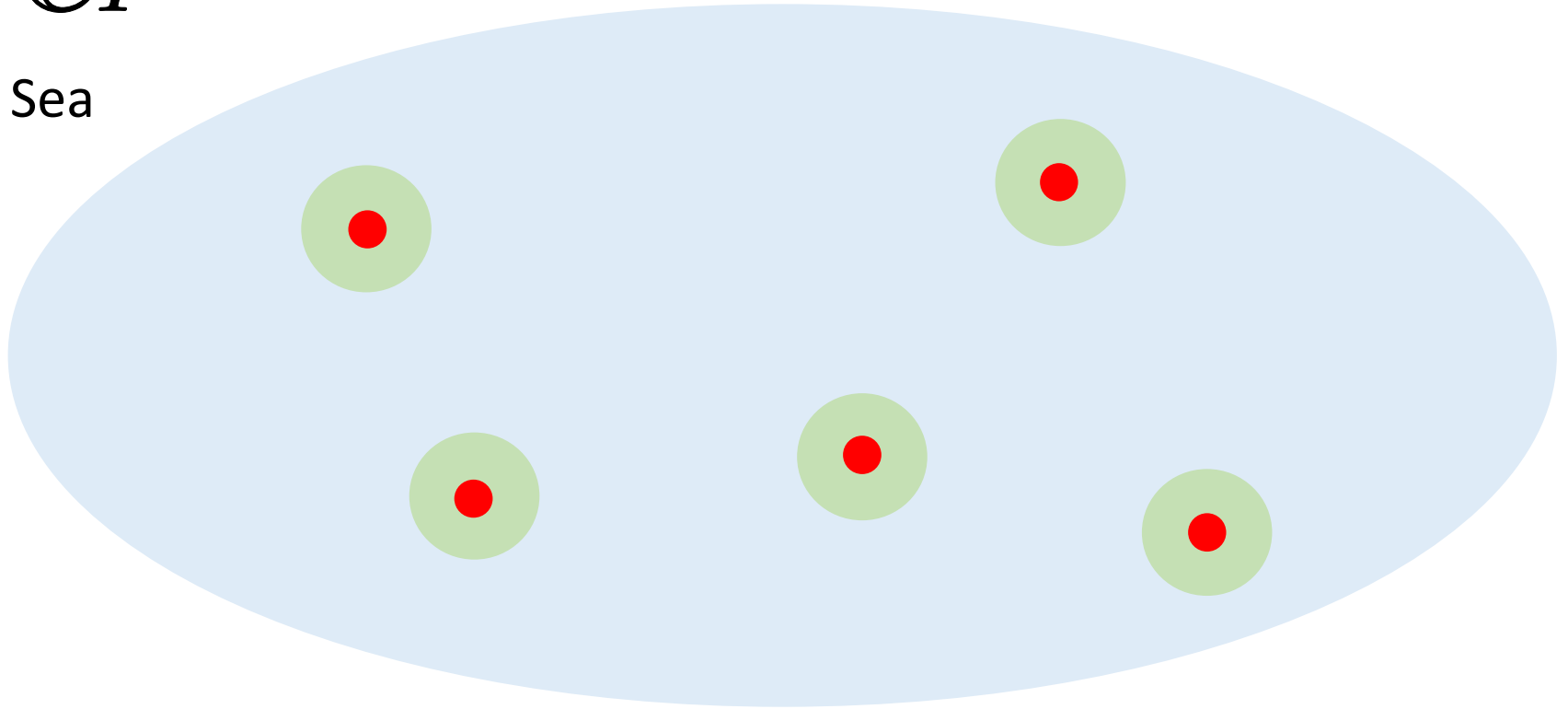
iii) $\hat{g}|_{\mathcal{M} \times U_x}$ depends only on τ, σ and the radial coordinate $|\xi_x|$

iv) $\hat{g}|_{\mathcal{M} \times V_x}$ depends only on τ, σ , that is, $g_x \equiv \hat{g}|_{\mathcal{M} \times V_x} = \hat{g}|_{\mathcal{M} \times \{x\}}$

\hat{g} depends only on τ, σ on the islands
Otherwise, $\hat{g} = 1$ (i.e., on the sea).

$\mathbb{C}P^1$

= Sea



● :pole

● :island

Master formula

$$S[\{g_x\}_{x \in \mathfrak{p}}] = \frac{1}{2} \sum_{x \in \mathfrak{p}} \int_{\mathcal{M}} \langle \text{res}_x(\varphi \mathcal{L}), g_x^{-1} dg_x \rangle \\ - \frac{1}{2} \sum_{x \in \mathfrak{p}} (\text{res}_x \omega) \int_{\mathcal{M} \times [0, R_x]} I_{\text{WZ}}[g_x]$$

Recipe of 2D ISM refined

1. Specify the form of ω
2. Take a boundary condition of A at the poles of ω
3. Fix the form of Lax form \mathcal{L} with the above information.
4. Finally, evaluate the above master formula.



2D ISM

2. Concrete Examples

1. Principal chiral model with Wess-Zumino (WZ) term

INPUT A meromorphic 1-form

$$\omega = K \frac{1 - z^2}{(z - k)^2} \quad K, k : \text{real constants}$$

$z = k, \infty$ are double poles $\longrightarrow \mathfrak{p} = \{k, \infty\}$

$z = \pm 1$ are zeros $\longrightarrow \mathfrak{z} = \{+1, -1\}$

Boundary condition

The boundary condition of A at the poles of ω is

$$A_i|_k = 0, \quad A_i|_\infty = 0 \quad (i = \tau, \sigma)$$

By using the Archipelago condition, the group element \hat{g} is restricted as

$$g_k = g(\tau, \sigma), \quad \underline{g_\infty = 1} \quad \text{due to the gauge symmetry}$$

Then the boundary condition can be rewritten as

$$A|_k = -dg \cdot g^{-1} + g\mathcal{L}g^{-1} = 0$$

$$A|_\infty = \mathcal{L}|_\infty = 0$$

Due to the second condition, U_τ, U_σ in the Lax form should be **zero**.

Thus the Lax form is

$$\mathcal{L} = \frac{V^{+1}}{z-1} d\sigma^+ + \frac{V^{-1}}{z+1} d\sigma^-$$

Then, by substituting the Lax form into the first boundary condition, we obtain

$$V^{\pm 1} = (k \mp 1)j_{\pm}, \quad j_{\pm} \equiv g^{-1}\partial_{\pm}g$$

Thus, the Lax form has been determined as

$$\mathcal{L} = \frac{k-1}{z-1}j_+d\sigma^+ + \frac{k+1}{z+1}j_-d\sigma^-$$

Finally, by putting this Lax form into the master formula, 2D action is given by

$$S[g] = \frac{K}{2} \int_{\mathcal{M}} d\sigma \wedge d\tau \langle j_+, j_- \rangle + K k I_{\text{WZ}}[g]$$

This is nothing but 2D principal chiral model with the WZ term.

2. Homogeneous Yang-Baxter sigma model

The 1-form is the same as the previous (but $k=0$ for simplicity)

$$\omega = K \frac{1 - z^2}{z^2} \quad K : \text{ a real constant}$$

But the boundary condition of A at the poles of ω is replaced by

$$A_i|_0 = -R \partial_z A_i|_0, \quad A_i|_\infty = 0 \quad (i = \tau, \sigma)$$

Here R is a linear operator from $\mathfrak{g} \rightarrow \mathfrak{g}$ satisfying

the homogeneous Yang-Baxter equation

$$[R(x), R(y)] - R([R(x), y] + [x, R(y)]) = 0$$

It is useful to introduce the notation: $R_g \equiv \text{Ad}_{g^{-1}} \circ R \circ \text{Ad}_g$

Lax form:
$$\mathcal{L} = \frac{1}{z-1} \frac{-1}{1+R_g} j_+ d\sigma^+ + \frac{1}{z+1} \frac{1}{1-R_g} j_- d\sigma^-$$

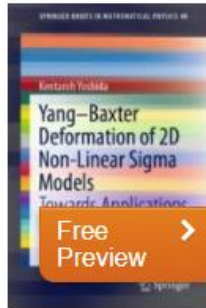
2D action:
$$S[g] = \frac{K}{2} \int_{\mathcal{M}} d\sigma \wedge d\tau \left\langle j_+, \frac{1}{1-R_g} j_- \right\rangle$$

Homogeneous Yang-Baxter sigma model

[Klimcik, hep-th/0210095, 0802.3518] [Delduc-Magro-Vicedo, 1308.3581] [Matsumoto-KY, 1501.03665]

My related works:

- Homogeneous YB deformed $\text{AdS}_5 \times \text{S}^5$ superstring [Kawaguchi-Matsumoto-KY, 1401.4855]
 - From 4D CS [Fukushima-Sakamoto-KY, 2005.04950]
- Faddeev-Reshetikhin model [Faddeev-Reshetikhin, Ann. Phys. 167 (1986) 227]
 - From 4D CS [Fukushima-Sakamoto-KY, 2012.07370]
- Integrable $T^{1,1}$ sigma model [Arutyunov-Bassi-Lacroix, 2010.05573]
 - From 4D CS [Fukushima-Sakamoto-KY, 2105.14920]

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Yang–Baxter Deformation of 2D Non-Linear Sigma Models

Towards Applications to AdS/CFT

Authors: Yoshida, Kentaroh

Introduces a new method called Yang–Baxter deformation to perform integrable deformations systematically

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About this book

In mathematical physics, one of the fascinating issues is the study of integrable systems. In particular, non-perturbative techniques that have been developed have triggered significant insight for real physics. There are basically two notions of integrability: classical integrability and quantum integrability. In this book, the focus is on the former, classical integrability. When the system has a finite number of degrees of freedom, it has been well captured by the Arnold–Liouville theorem. However, when the number of degrees of freedom is infinite, as in classical field theories, the

[» Show all](#)

3. Summary and Discussion

3) Summary and Discussion

We have discussed how to derive 2D ISMs from 4D CS.

In particular, superstring on $AdS_5 \times S^5$ is also included.



The origin of kappa-symmetry?

Kappa symmetry: A fermionic gauge symmetry in the Green-Schwarz formulation of superstring theory which is based on space-time fermions. It is necessary to remove the redundant space-time fermions. But it was introduced in a heuristic way and its origin is unclear.

Take-home message

The unified theory of 2D ISMs may reveal the fundamental symmetry of String Theory.

But 4D CS scenario might be a tip of the iceberg!



Current understanding:

6D holomorphic Chern-Simons theory

Costello [talk at Strings 2020], Bittleston-Skinner [2011.04638]



4D CS

Costello-Yamazaki

Delduc-Lacroix-Magro-Vicedo



???

Dihedral affine
Gaudin model

Vicedo

Lacroix-Vicedo



4D IM

e.g. 4D WZW model



2D ISM



Question: Are these three ways equivalent?

No?

According to a paper [2105.06826] by Bin Chen, Yi-jun He and Jia Tian,

Homogeneous YB sigma models, λ -models, generalized λ -models
CANNOT be obtained from 4D IM, though these are derived from 4D CS.

These models may be counter-examples for the equivalence.

We should carefully check this statement!

Thank you for
your attention!
