

On Broken Supersymmetry In String Theory

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(Two recent reviews with Mourad : 2107.04064, 1711.11494)



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(ONLINE)

*Broken SUSY in 10D
with
NO Tachyons*

Vacuum Energy in Field Theory

- BOSE (FERMI) OSCILLATOR:

$$H = \frac{p^2}{2m} + \frac{1}{2} m \omega^2 q^2 \longrightarrow \mathcal{E}_0 = (-) \frac{\hbar \omega}{2}$$

- QUANTUM FIELD THEORY:

$$\frac{\mathcal{E}_0}{V} = \sum_i (-1)^{F_i} \int \frac{d^d \mathbf{k}}{(2\pi)^d} \frac{\hbar c}{2} \sqrt{\mathbf{k}^2 + m_i^2}$$

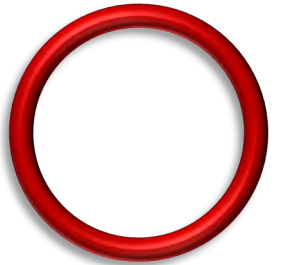
- THE COSMOLOGICAL CONSTANT ISSUE:

$$\frac{\mathcal{E}_0}{V} \sim \frac{\mathcal{E}_{Pl}}{V_{Pl}} \sum_i (-1)^{F_i} + \dots$$

(Zeldovich, 1968)

- (Exact, GLOBAL) SUPERSYMMETRY removes problem

(Zumino, 1975)

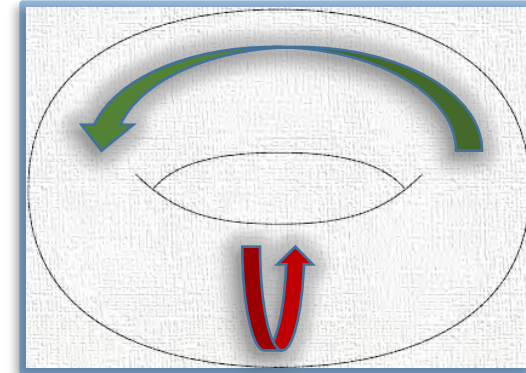
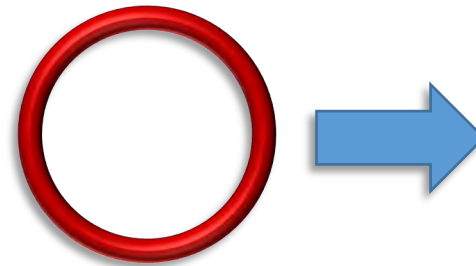


Vacuum Energy and String Theory

VACUUM ENERGY: DETERMINES CONSISTENT STRING SPECTRA

- VACUUM ENERGY & "CLOSED" STRINGS:

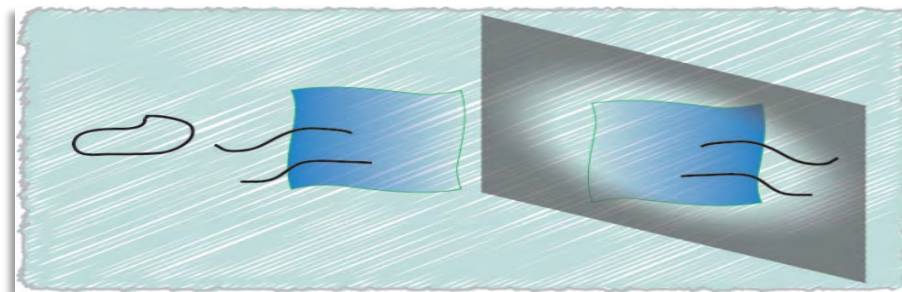
- ❖ MODULAR INVARIANCE!



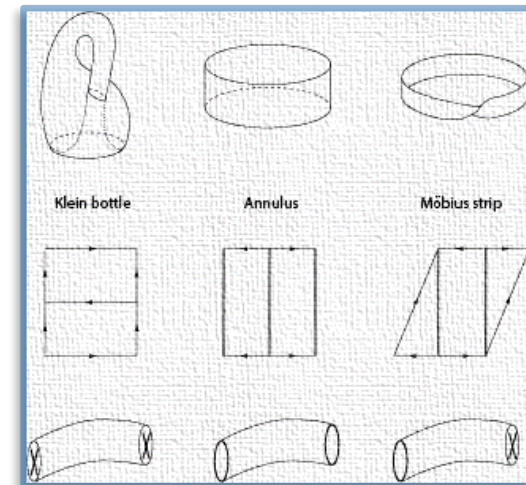
- ORIENTIFOLDS: MORE SUBTLE, OPEN AND CLOSED STRINGS

(AS, 1987)
 [+Bianchi, Pradisi, 1988-96]
 [+Stanev, 1994-96]

- VACUUM HOSTS (EXTENDED) SOLITONS



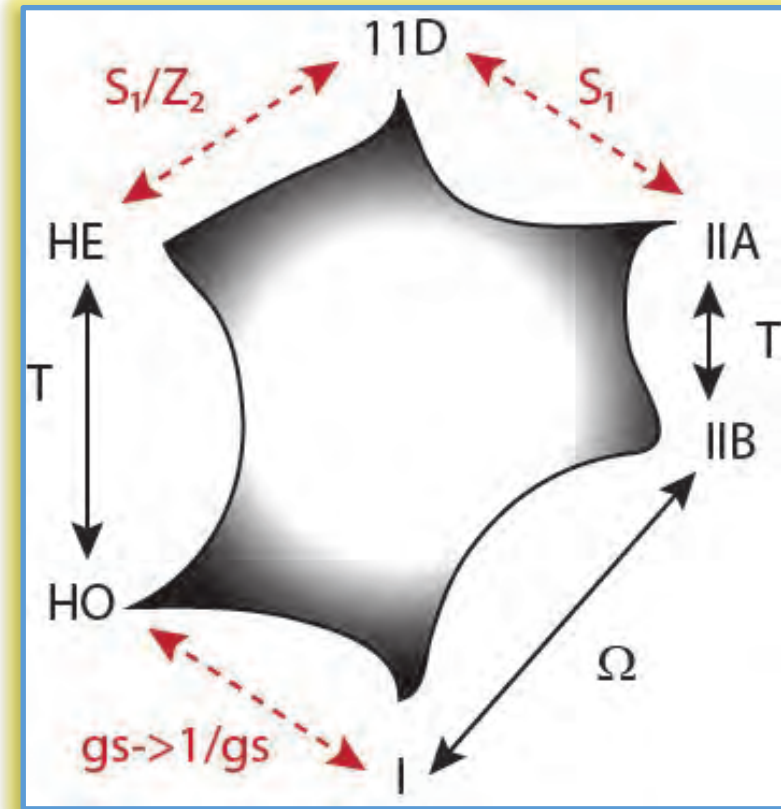
(Polchinski, 1995)



- OK WITH SUPERSYMMETRY (NO BACK-REACTION)!

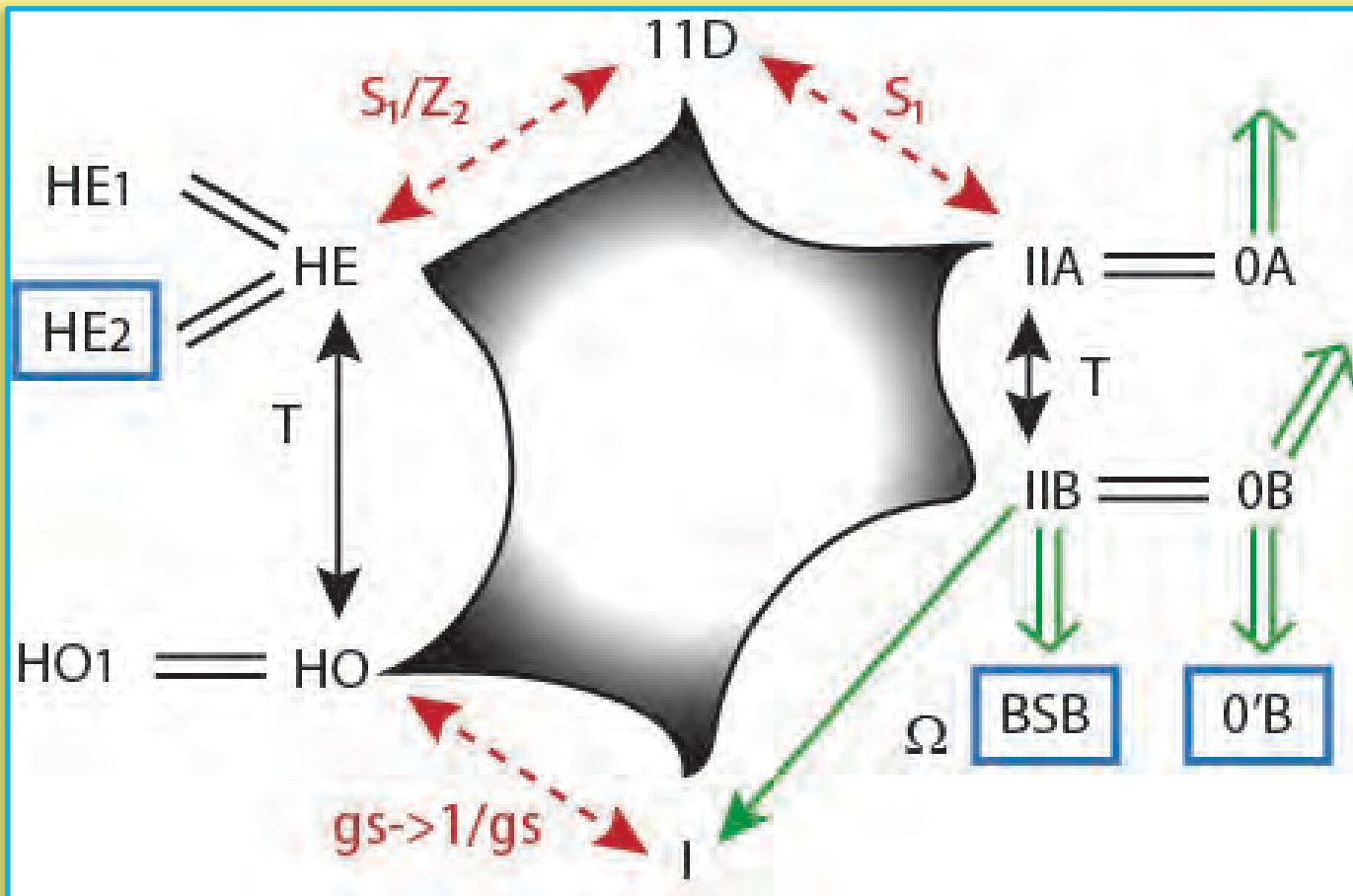
The (SUSY) 10D-11D Zoo

- **Highest point** of (SUSY) String Theory
- **BUT:**
- Exhibits **dramatically our limitations**
- perturbative \rightarrow **Solid arrows**
- [10&11D supergravity \rightarrow **Dashed arrows**]
(Witten, 1995)
- **SUSY**: stabilizes these 10D Minkowski vacua



BROKEN SUSY ?

The 10D-11D Zoo



- 3 D=10 **non-SUSY non-tachyonic** strings
- SO(16)xSO(16) (Dixon, Harvey, 1987)
(Alvarez-Gaumé, Ginsparg, Moore, Vafa, 1987)
- O'B U(32) (AS, 1995)
- [BSB Usp(32)] (Sugimoto, 1999, Antoniadis, Dudas, AS, 1999)

- **String consistency rules OK**
- **BUT:** vacuum modified (Tadpole potential)

- QUESTIONS:**
- Compactifications? Stability?
 - **NON-PERTURBATIVE LINKS?**

$$\mathcal{S} = \frac{1}{2k_{10}^2} \int d^{10}x \sqrt{-G} \left\{ e^{-2\phi} [-R + 4(\partial\phi)^2] - \frac{1}{12} \mathcal{H}_3^2 - \frac{1}{4} e^{-\phi} \text{tr} \mathcal{F}^2 \right. \left. - T e^{-\phi} + \dots \right\}$$

The Non-Tachyonic 10D String Models

$$O_{2n} = \frac{\theta^n \begin{bmatrix} 0 \\ 0 \end{bmatrix}(0|\tau) + \theta^n \begin{bmatrix} 0 \\ 1/2 \end{bmatrix}(0|\tau)}{2\eta^n(\tau)}, \quad S_{2n} = \frac{\theta^n \begin{bmatrix} 1/2 \\ 0 \end{bmatrix}(0|\tau) + i^{-n} \theta^n \begin{bmatrix} 1/2 \\ 1/2 \end{bmatrix}(0|\tau)}{2\eta^n(\tau)}$$

$$V_{2n} = \frac{\theta^n \begin{bmatrix} 0 \\ 0 \end{bmatrix}(0|\tau) - \theta^n \begin{bmatrix} 0 \\ 1/2 \end{bmatrix}(0|\tau)}{2\eta^n(\tau)}, \quad C_{2n} = \frac{\theta^n \begin{bmatrix} 1/2 \\ 0 \end{bmatrix}(0|\tau) - i^{-n} \theta^n \begin{bmatrix} 1/2 \\ 1/2 \end{bmatrix}(0|\tau)}{2\eta^n(\tau)}$$

$$\eta(\tau) = q^{\frac{1}{24}} \prod_{n=1}^{\infty} (1 - q^n), \quad q = e^{2\pi i \tau}$$

$$\theta \begin{bmatrix} \alpha \\ \beta \end{bmatrix} (z|\tau) = \sum_{n \in \mathbb{Z}} q^{\frac{1}{2}(n+\alpha)^2} e^{i2\pi(n+\alpha)(z-\beta)}$$

SO(16)xSO(16):

$$\mathcal{T}_{SO(16) \times SO(16)} = \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{1}{(Im\tau)^4 \eta^8 \bar{\eta}^8} [O_8(\bar{V}_{16} \bar{C}_{16} + \bar{C}_{16} \bar{V}_{16}) + V_8(\bar{O}_{16} \bar{O}_{16} + \bar{S}_{16} \bar{S}_{16}) - S_8(\bar{O}_{16} \bar{S}_{16} + \bar{S}_{16} \bar{O}_{16}) - C_8(\bar{V}_{16} \bar{V}_{16} + \bar{C}_{16} \bar{C}_{16})]$$

(Dixon, Harvey, 1987)

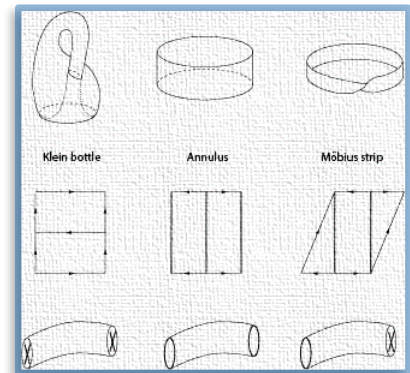
(Álvarez-Gaumé, Ginsparg, Moore, Vafa, 1987)

U(32):

$$\frac{1}{2} (\mathcal{T} + \mathcal{K}) = \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{|O_8|^2 + |V_8|^2 + |S_8|^2 + |C_8|^2}{(Im\tau)^4 \eta^8 \bar{\eta}^8} + \frac{1}{2} \int_0^{\infty} \frac{d\tau_2}{(\tau_2)^2} \frac{(-)O_8 + V_8 + S_8 - C_8}{(\tau_2)^4 \eta^8} [2i\tau_2]$$

$$\frac{1}{2} (\mathcal{A} + \mathcal{M}) = \int_0^{\infty} \frac{d\tau_2}{(\tau_2)^2} \frac{\mathcal{N}\bar{\mathcal{N}} V_8 - \frac{1}{2} (\mathcal{N}^2 + \bar{\mathcal{N}}^2) C_8}{(\tau_2)^4 \eta^8} [i\tau_2/2] - \frac{\mathcal{N} + \bar{\mathcal{N}}}{2} \int_0^{\infty} \frac{d\tau_2}{(\tau_2)^2} \frac{\hat{C}_8}{(\tau_2)^4 \eta^8} [i\tau_2/2 + 1/2]$$

(AS, 1995)



USp(32):

$$\mathcal{T}_{IIB} = \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{|V_8 - S_8|^2}{(Im\tau)^4 \eta^8 \bar{\eta}^8} \rightarrow \frac{1}{2} (\mathcal{T} + \mathcal{K}) = \frac{1}{2} \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{|V_8 - S_8|^2}{(Im\tau)^4 \eta^8 \bar{\eta}^8} + \frac{1}{2} \int_0^{\infty} \frac{d\tau_2}{(\tau_2)^2} \frac{V_8 - S_8}{(\tau_2)^4 \eta^8} [2i\tau_2]$$

$$\mathcal{A} + \mathcal{M} = \frac{1}{2} \mathcal{N}^2 \int_0^{\infty} \frac{d\tau_2}{(\tau_2)^2} \frac{V_8 - S_8}{(\tau_2)^4 \eta^8} [i\tau_2/2] - \frac{1}{2} \mathcal{N} \int_0^{\infty} \frac{d\tau_2}{(\tau_2)^2} \frac{(-)\hat{V}_8 - \hat{S}_8}{(\tau_2)^4 \eta^8} [i\tau_2/2 + 1/2]$$

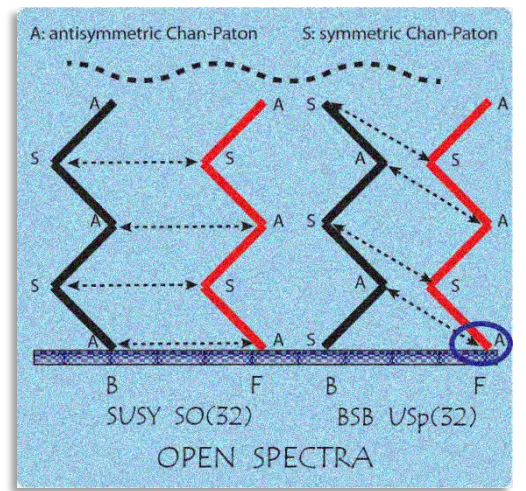
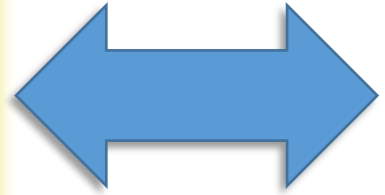
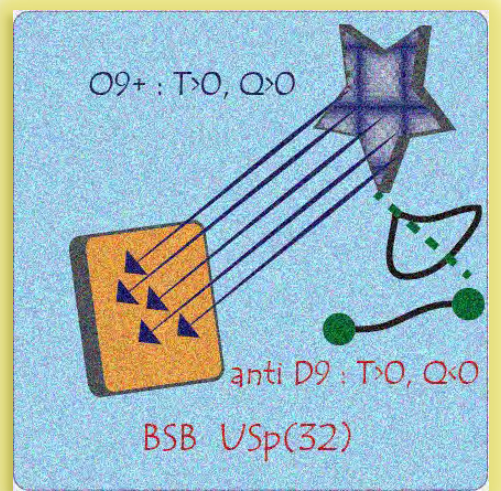
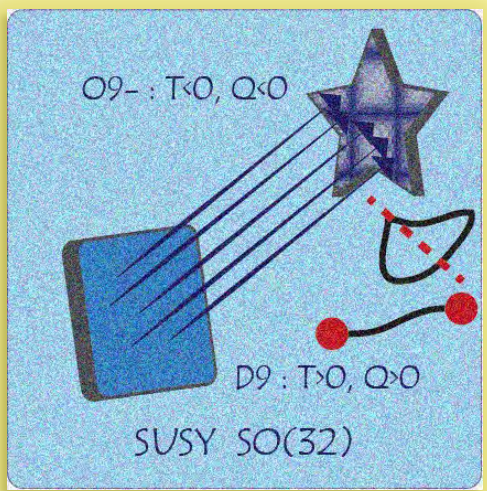
(Sugimoto, 1999, Antoniadis, Dudas, AS, 1999)

Brane SUSY Breaking

(Sugimoto, 1999)
 (Antoniadis, Dudas, AS, 1999)
 (Angelantonj, 1999)
 (Aldazabal, Uranga, 1999)

- ❖ Non-linear SUSY: \exists goldstino!
- ❖ NO TACHYONS

(Dudas, Mourad, 2000)
 (Pradisi, Riccioni, 2001)



$$\mathcal{S} = \frac{1}{2k_{10}^2} \int d^{10}x \sqrt{-G} \left\{ e^{-2\phi} [-R + 4(\partial\phi)^2] - \frac{1}{12} \mathcal{H}_3^2 - \frac{1}{4} e^{-\phi} \text{tr } \mathcal{F}^2 - T e^{-\phi} + \dots \right\}$$

- NOTE:
- Expansion in powers of $\alpha' R$
 - Expansion in powers of $g_s = e^\phi$

VACUUM ENERGY \rightarrow POTENTIAL

SUMMARIZING

NO SUSY → Typically tachyonic modes

- BUT: 3 D=10 non-SUSY non-tachyonic strings
- SO(16)xSO(16) heterotic
- O'B U(32) orientifold (no SUSY)
- Usp(32) orientifold (non-linear SUSY)

(Dixon, Harvey, 1987)

(Alvarez-Gaumé, Ginsparg, Moore, Vafa, 1987)

(AS, 1995)

(Sugimoto, 1999, Antoniadis, Dudas, AS, 1999)

Vacuum modified (Tadpole potential)

$$\mathcal{S} = \frac{1}{2k_{10}^2} \int d^{10}x \sqrt{-G} \left\{ e^{-2\phi} [-R + 4(\partial\phi)^2] - \frac{1}{12} \mathcal{H}_3^2 - \frac{1}{4} e^{-\phi} \text{tr} \mathcal{F}^2 - T e^{-\phi} + \dots \right\}$$

The "Climbing" Scalar

Cosmological Potentials

What potentials lead to slow-roll, and where ?

$$ds^2 = -dt^2 + e^{2A(t)} dx \cdot dx$$



$$\ddot{\phi} + 3\dot{\phi} \sqrt{\frac{1}{3} \dot{\phi}^2 + \frac{2}{3} V(\phi)} + V' = 0$$

Driving force from V' vs friction from V

- **If V does not vanish** : convenient gauge "makes the damping term neater"

$$ds^2 = e^{2B(t)} dt^2 - e^{\frac{2A(t)}{d-1}} dx \cdot dx$$

$$V e^{2B} = V_0$$

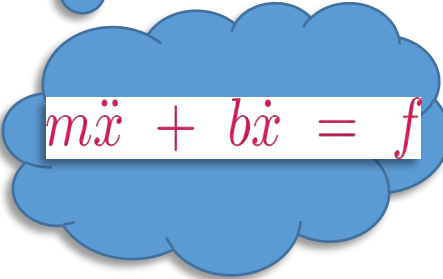
$$\tau = t \sqrt{\frac{d-1}{d-2}}, \quad \varphi = \phi \sqrt{\frac{d-1}{d-2}}$$

$$\dot{A}^2 - \dot{\phi}^2 = 1$$

$$\ddot{\phi} + \dot{\phi} \sqrt{1 + \dot{\phi}^2} + \frac{V_\varphi}{2V} (1 + \dot{\phi}^2) = 0$$

- Now driving from $\log V$ vs $O(1)$ damping

$$V = \varphi^n \rightarrow \frac{V'}{2V} = \frac{n}{2\varphi}$$



❖ **Quadratic potential?** Far away from origin

(Linde, 1983)

❖ **Exponential potential?** YES or NO

$$V(\varphi) = V_0 e^{2\gamma\varphi} \rightarrow \frac{V'}{2V} = \gamma$$

$V = e^{3\gamma\phi/2}$: Climbing & Descending Scalars

(Halliwell, 1987;..., Dudaş and Mourad, 1999; Russo, 2004; Dudaş, Kitazawa, AS, 2010)

- $\gamma < 1$? Both signs of speed
- a. "Climbing" solution (ϕ climbs, then descends):

$$\dot{\phi} = \frac{1}{2} \left[\sqrt{\frac{1-\gamma}{1+\gamma}} \coth\left(\frac{\tau}{2} \sqrt{1-\gamma^2}\right) - \sqrt{\frac{1+\gamma}{1-\gamma}} \tanh\left(\frac{\tau}{2} \sqrt{1-\gamma^2}\right) \right]$$

- b. "Descending" solution (ϕ only descends):

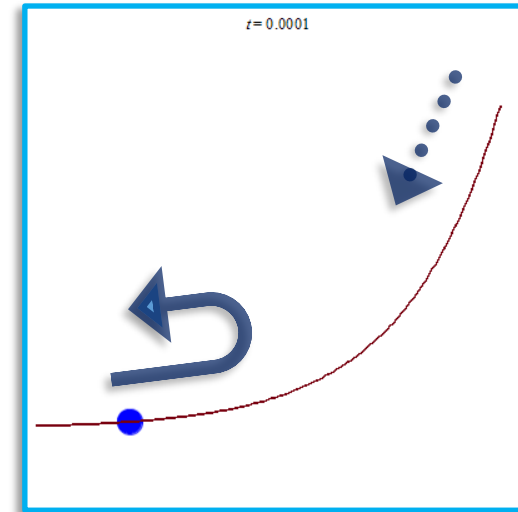
$$\dot{\phi} = \frac{1}{2} \left[\sqrt{\frac{1-\gamma}{1+\gamma}} \tanh\left(\frac{\tau}{2} \sqrt{1-\gamma^2}\right) - \sqrt{\frac{1+\gamma}{1-\gamma}} \coth\left(\frac{\tau}{2} \sqrt{1-\gamma^2}\right) \right]$$

Limiting τ - speed (LM attractor):

$$v_{lim} = -\frac{\gamma}{\sqrt{1-\gamma^2}}$$

(Lucchin and Matarrese, 1985)

$\gamma = 1$ is "critical": LM attractor & descending solution disappear there and beyond



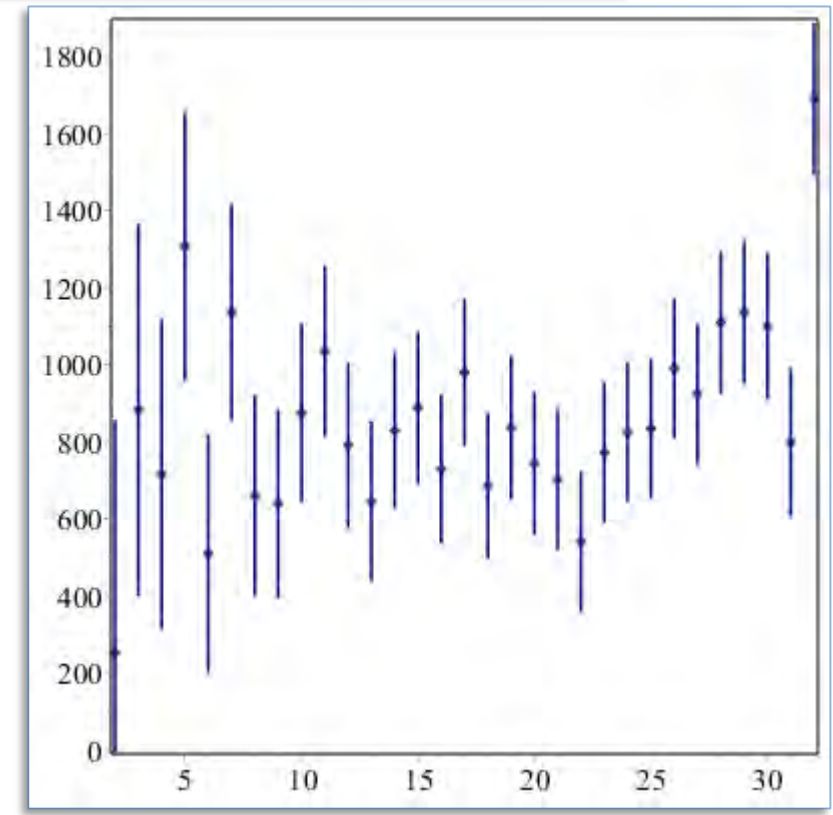
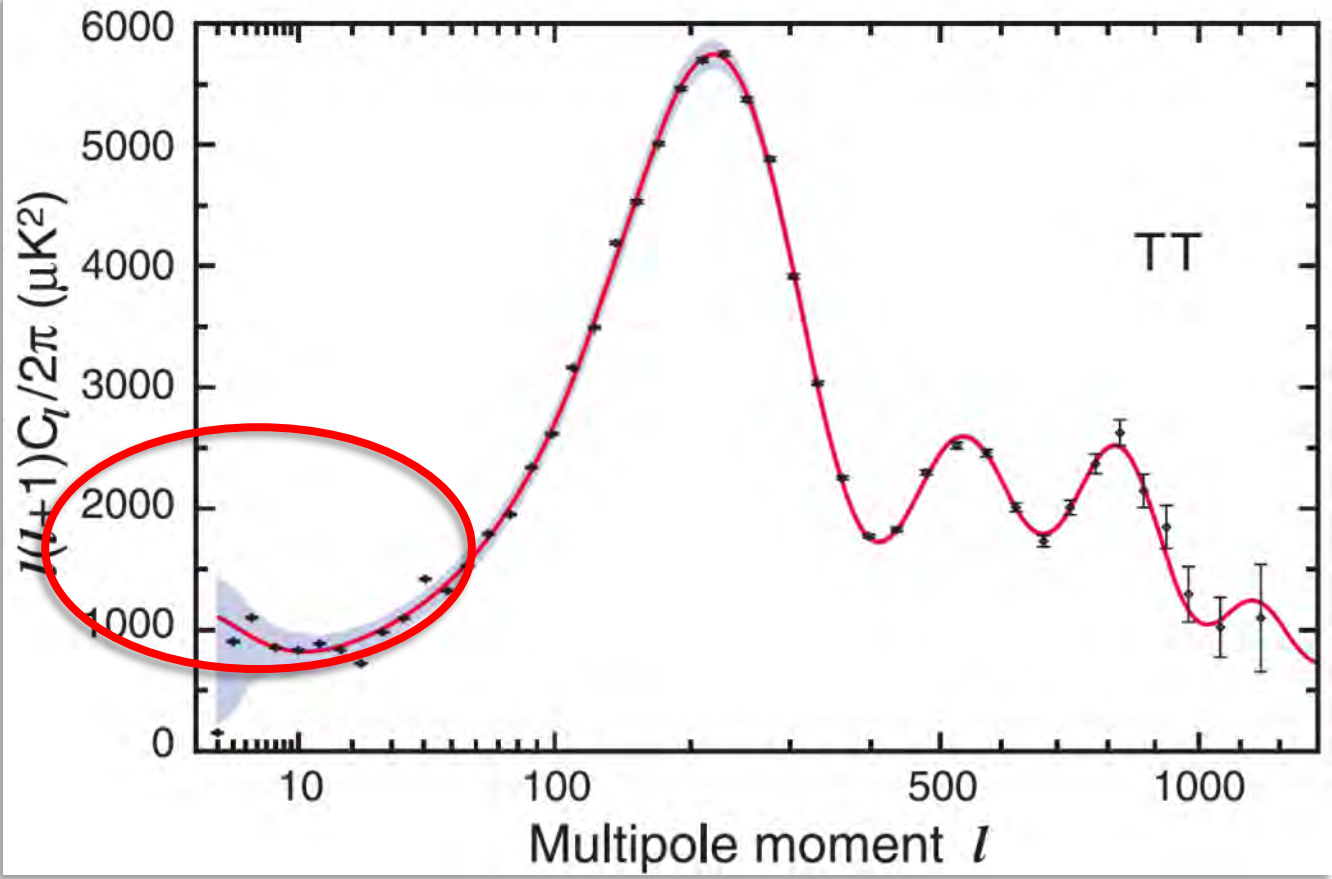
CLIMBING: in ALL asymptotically exponential potentials with $\gamma \geq 1$!

10D STRING THEORY HAS PRECISELY $\gamma = 1$ (bounded g_s !)

- $\gamma = 1$:

$$\begin{aligned} \varphi(\tau) &= \varphi_0 + \frac{1}{2} \left[\log|\tau - \tau_0| - \frac{1}{2} (\tau - \tau_0)^2 \right] \\ \mathcal{A}(\tau) &= \mathcal{A}_0 + \frac{1}{2} \left[\log|\tau - \tau_0| + \frac{1}{2} (\tau - \tau_0)^2 \right] \end{aligned}$$

Are we seeing signs of the onset of inflation ?



+ : $A_\ell \sim \ell(\ell + 1) \int \frac{dk}{k} P_R(k) j_\ell(k\Delta\eta)^2 \sim P_R\left(k = \frac{\ell}{\Delta\eta}\right)$
 - : **Cosmic Variance**

Cosmology with Tadpoles: The Climbing Scalar

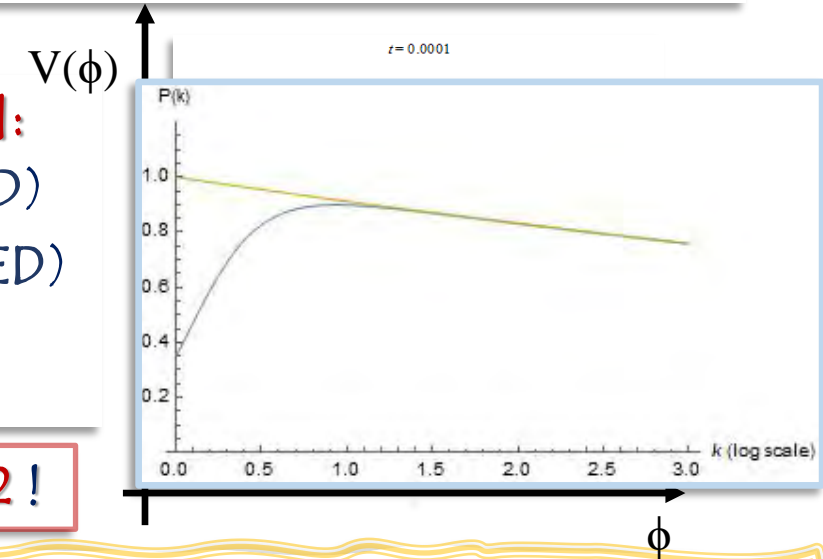
$$V = T(e^{\gamma\phi} [+ e^{\gamma'\phi}]) \quad (\text{Einstein frame, } [\gamma' < 3/2])$$

(Halliwell, 1987; ..., Dudaş and Mourad, 1999; Russo, 2004)
(Dudaş, Kitazawa, AS, 2010)

For $\gamma < 3/2$ [canonical: beware of different notation in earlier work]:

- "Climbing" solution (ϕ climbs, then descends) $\rightarrow g_s = e^\phi$ BOUNDED
- "Descending" solution (ϕ only descends) $\rightarrow g_s = e^\phi$ UNBOUNDED
- Limiting τ -speed (LM attractor) (Lucchin and Matarrese, 1985)

LM attractor & descending solution disappear for $\gamma \geq 3/2$!



CLIMBING: BSB ($U_{sp}(32)$) and $U(32)$ HAVE $\gamma = 3/2$! [$SO(16) \times SO(16)$ has $\gamma = 5/2$]!

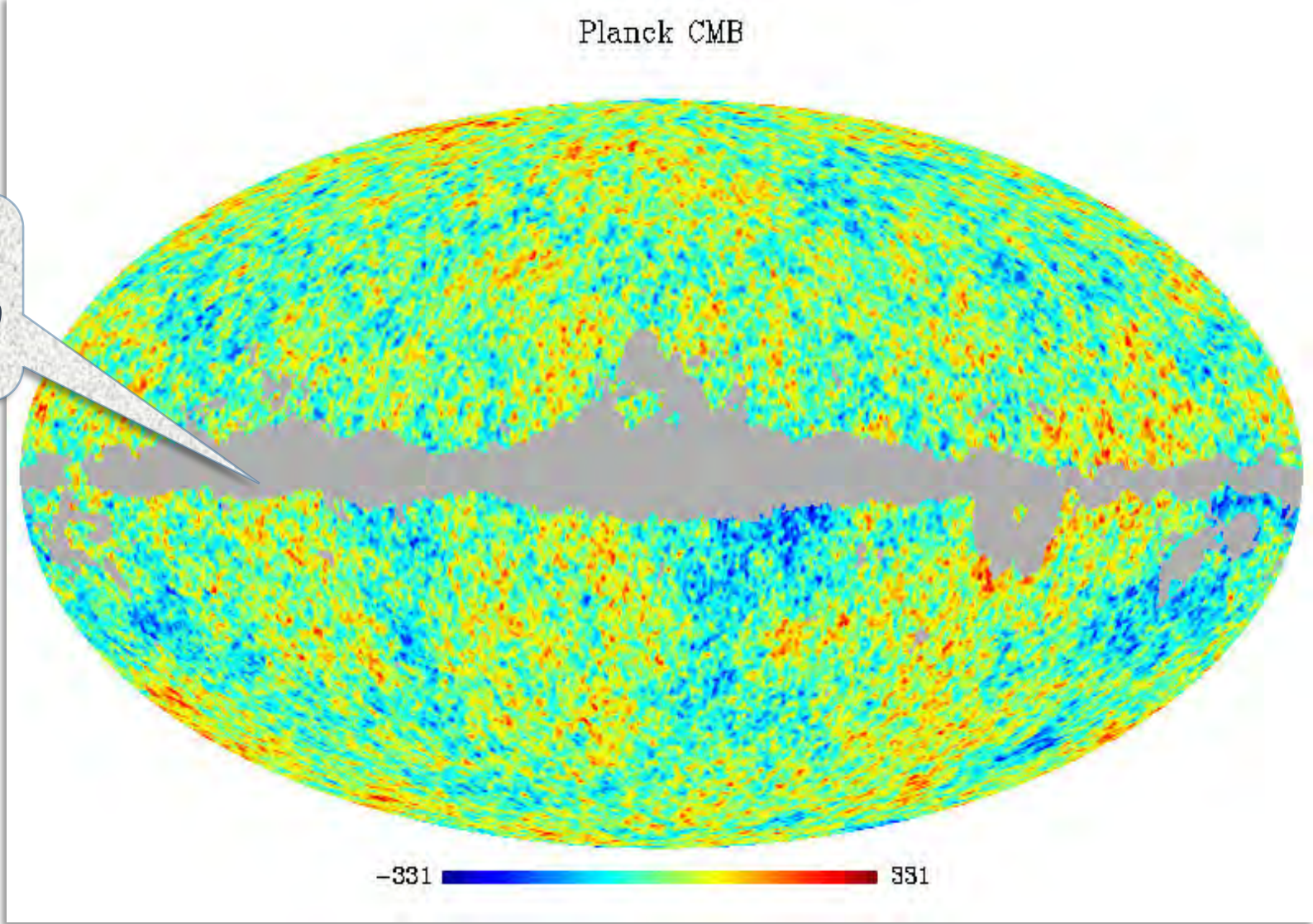
CLUES for the onset of INFLATION?

$$P(k) = A k^{n_s - 1} \rightarrow \frac{k^{\nu}}{[k^2 + \Delta^2]^{2 - \frac{n_s}{2}}}$$

Tensor-to-scalar ratio & (squeezed) non-gaussianity: enhanced at transition

(Bartolo, Matarrese, AS, Vanzan, work in progress)

Pre-Inflationary Relics in the CMB?



Pre-Inflationary Relics in the CMB?

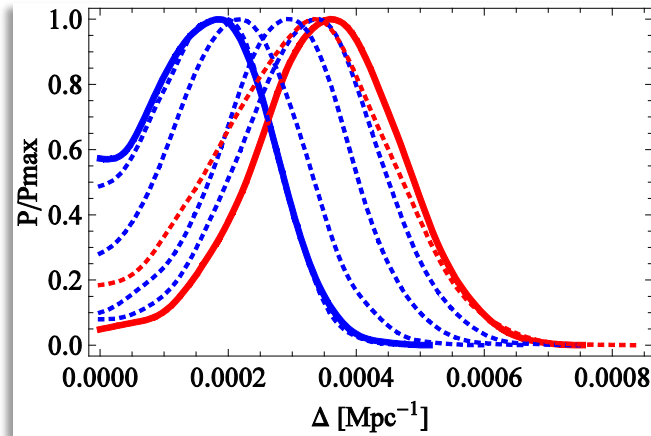
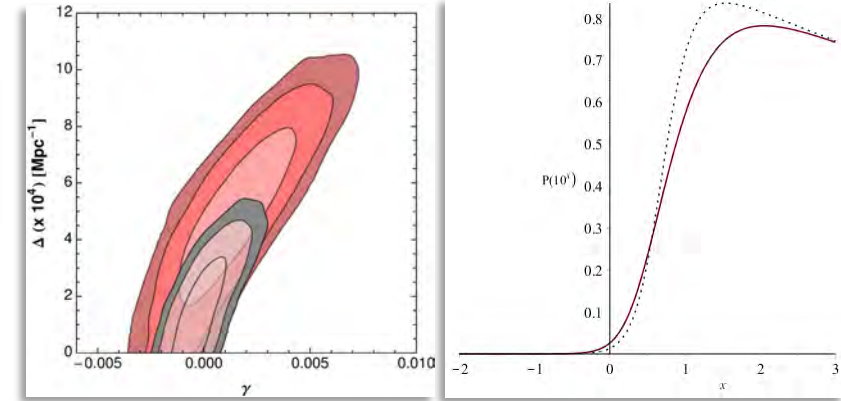
- **Extend Λ CDM** to allow for low- l suppression:

(Kitazawa, AS, 2014)

$$\mathcal{P}(k) = A (k/k_0)^{n_s-1} \rightarrow \frac{A (k/k_0)^3}{[(k/k_0)^2 + (\Delta/k_0)^2]^\nu}$$

- ❖ **NO effects on standard Λ CDM parameters (6+16 nuisance)**
- ❖ **A new scale Δ .** Preferred value? Depends on Galactic masking.

(Gruppuso, Kitazawa, Mandolesi, Natoli, AS, 2015)



$$\Delta = (0.351 \pm 0.114) \times 10^{-3} \text{ Mpc}^{-1}$$

RED : + 30-degree extended mask
> 99% confidence level

- What is the corresponding energy scale at onset of inflation?

$$\Delta^{Infl} \sim 2.4 \times 10^{12} e^{N-60} \text{ GeV} \sim 10^{12} - 10^{14} \text{ GeV} \text{ for } N \sim 60 - 65$$

SUMMARIZING

String Theory PREDICTS the exponent of

$$V = T e^{\gamma \phi}$$

- Einstein frame: $\gamma=3/2$ (U(32) and Usp(32) orientifolds); $\gamma=5/2$ (SO(16)xSO(16))

$$\gamma \geq \gamma_c$$

- For $\gamma \geq \gamma_c$: ϕ can only emerge from the initial singularity **CLIMBING UP!**

- g_s **BOUNDED** [NOT R !], & **MODEL** of FAST-SLOW TRANSITION

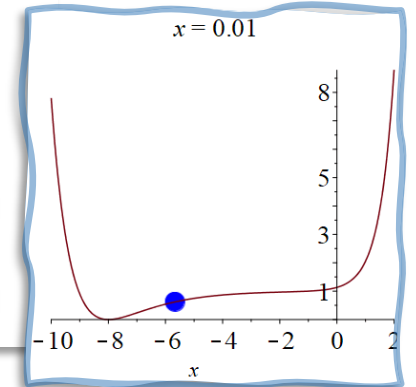
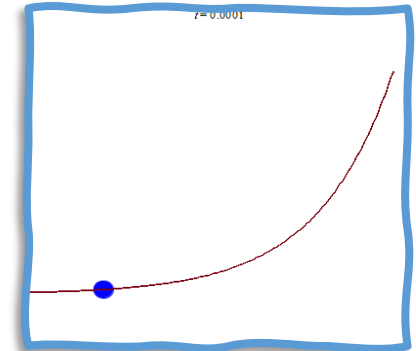
- **ONSET OF INFLATION ?**

$$P(k) = A k^{n_s - 1} \rightarrow \frac{k^3}{[k^2 + \Delta^2]^{2 - \frac{n_s}{2}}}$$

- ✓ Primordial power spectrum depressed [POSTDICTION]

- ❖ Tensor-to-scalar ratio & non-gaussianity: enhanced at transition [PREDICTIONS]

CLIMBING: can occur in generic inflationary potentials



Compactifications

9D Dudas-Mourad Vacua

(Dudas, and Mourad, 2000, 2001)

$$\mathcal{S} = \frac{1}{2k_{10}^2} \int d^{10}x \sqrt{-G} \left\{ e^{-2\phi} [-R + 4(\partial\phi)^2] - \frac{1}{12} \mathcal{H}_3^2 - \frac{1}{4} e^{-\phi} \text{tr} \mathcal{F}^2 - T e^{-\phi} + \dots \right\}$$

9D solutions → T DRIVES the compactification

[For both $Usp(32)$ and $U(32)$, & similar but more complicated for $SO(16) \times SO(16)$]

❖ SPONTANEOUS COMPACTIFICATIONS: intervals of FINITE length $\sim \frac{1}{\sqrt{T}}$

❖ FINITE 9D Planck mass and gauge coupling

- g_s diverges at one end & curvature at the other
- Internal interval & 9D flat space (with warping)
- QUESTIONS:

- Fermions?
- String corrections: are large values of g_s NEEDED for these types of compactification ?
- Stability ?

$$e^\phi = e^{u+\phi_0} u^{\frac{1}{3}}$$
$$ds^2 = e^{-\frac{u}{6}} u^{\frac{1}{18}} dx^2 + \frac{2}{3T u^{\frac{3}{2}}} e^{-\frac{3}{2}(u+\phi_0)} du^2$$

AdS x S Flux Vacua with Tadpoles

(Gubser, Mitra, 2002)
(Mourad, AS, 2016)

$$\mathcal{S} = \frac{1}{2k_{10}^2} \int d^{10}x \sqrt{-G} \left\{ e^{-2\phi} [-R + 4(\partial\phi)^2] - \frac{1}{12} \mathcal{H}_3^2 - \frac{1}{4} e^{-\phi} \text{tr} \mathcal{F}^2 - T e^{-\phi} + \dots \right\}$$

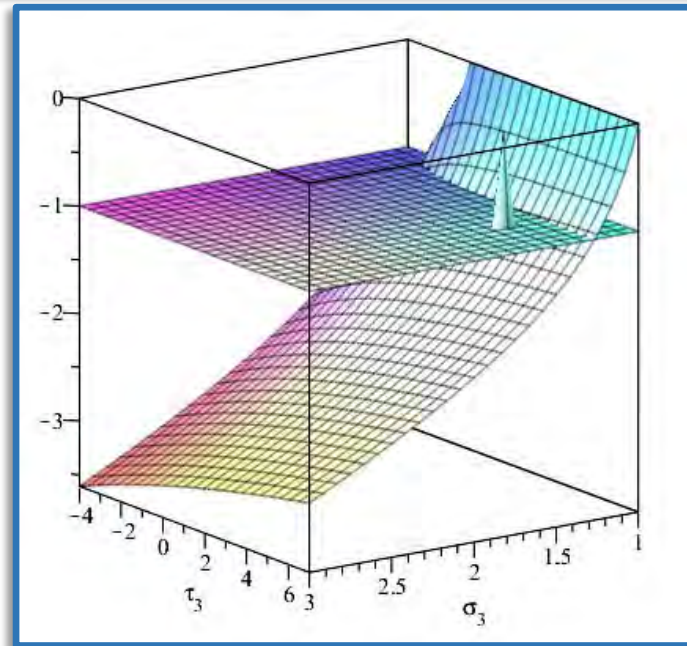
- Dilaton Eq: constraint from **positivity of T** (orientifolds **NEED H_3 fluxes, $SO(16) \times SO(16)$ H_7 fluxes**)
- Eqs. determine AdS (in Poincaré coordinates or in other slicings)
- $AdS_3 \times S^7$ (orientifolds) ; $AdS_7 \times S^3$ ($SO(16) \times SO(16)$)
- ❖ **WIDE REGIONS** where the two couplings $\alpha' R$ and $g_s = e^\phi$ are **SMALL**
- ❖ **(H_3 or H_7) FLUXES SUPPORT THESE SYMMETRIC COMPACTIFICATIONS**

Stability ?

$AdS_3 \times S^7$ (& $AdS_7 \times S^3$) Vacua

(Gubser, Mitra, 2001)
(Mourad, AS, 2016)
(Basilè, Mourad, AS, 2018)

- ❖ **Orientifold & $SO(16) \times SO(16)$ vacua: WEAK coupling but UNSTABLE**
- **VIOLATIONS** of Breitenlohner–Freedman bounds for modes with INTERNAL EXCITATIONS (mixings)
- Wide NEARBY regions of stability. **Quantum corrections?**
- **At least in $SO(16) \times SO(16)$:** perturbative instabilities can be removed by internal projections on S^3



Dudas–Mourad Vacua

(Basile, Mourad, AS, 2018)

❖ Dudas–Mourad vacua: **STRONG COUPLING** but **STABLE!**

• E.g.: Scalar perturbations:

$$ds^2 = e^{2\Omega(z)} [(1 + A) dx^\mu dx_\mu + (1 - 7A) dz^2],$$

$$A'' + A' \left(24 \Omega' - \frac{2}{\phi'} e^{2\Omega} V_\phi \right) + A \left(m^2 - \frac{7}{4} e^{2\Omega} V - 14 e^{2\Omega} \Omega' \frac{V_\phi}{\phi'} \right) = 0$$

❖ Schrödinger-like form

$$m^2 \Psi = (b + \mathcal{A}^\dagger \mathcal{A}) \Psi$$
$$\mathcal{A} = \frac{d}{dr} - \alpha(r), \quad \mathcal{A}^\dagger = -\frac{d}{dr} - \alpha(r), \quad b = \frac{7}{2} e^{2\Omega} V \frac{1}{1 + \frac{9}{4} \alpha_O y^2} > 0$$

NO tachyons in 9D : PERTUBATIVE STABILITY

The Climbing Scalar

(Basile, Mourad, AS, 2018)

- ❖ **COSMOLOGY** : the issue is the time evolution of perturbations
- ❖ **INITIALLY** (large η) V is negligible: tensor perturbations evolve as

$$h''_{ij} + \frac{1}{\eta} h'_{ij} + \mathbf{k}^2 h_{ij} = 0$$
$$h_{ij} \sim A_{ij} J_0(k\eta) + B_{ij} Y_0(k\eta) \quad (\mathbf{k} \neq 0)$$
$$h_{ij} \sim A_{ij} + B_{ij} \log\left(\frac{\eta}{\eta_0}\right) \quad (\mathbf{k} = 0)$$

- ❖ **NOTICE**: logarithmic growth for $k=0$ (instability of isotropy) !!

- ❖ **RESONATES** with (Kim, Nishimura, Tsuchiya, 2018)
(Anagnostopoulos, Auma, Ito, Nishimura, Papadoulis, 2018)

Dynamical origin of compactification ?

SUMMARIZING

Perturbative Stability of Vacua

1. Dudas–Mourad vacuum: $m^2 \geq 0$ for modes \rightarrow STABLE

2. Compactifications to AdS: $(m^2 \geq -\frac{c}{R^2})$ (Breitenlohner, Freedman, 1982)

[AdS x S non-SUSY vacua \rightarrow NOT STABLE]

Cosmology

Question: do perturbations decay in time ?

[INSTABILITY OF ISOTROPY for climbing phase \rightarrow clue for $10 \rightarrow 4$?]

Stability with a Bounded g_s

More General Setup

(Mourad, AS, 2021)

$$ds^2 = e^{2A(r)} dx^2 + e^{2B(r)} dr^2 + e^{2C(r)} dy^2 : \quad B = (p+1)A + (D-p-2)C$$

General H-T System:

$$\begin{aligned} A'' &= -\frac{T}{8} e^{2[(p+1)A+(8-p)C+\frac{\gamma}{2}\phi]} + \frac{(7-p)}{16} e^{2[\beta_p \phi + (p+1)A]} H_{p+2}^2, \\ C'' &= -\frac{T}{8} e^{2[(p+1)A+(8-p)C+\frac{\gamma}{2}\phi]} - \frac{(p+1)}{16} e^{2[\beta_p \phi + (p+1)A]} H_{p+2}^2, \\ \phi'' &= T\gamma e^{2[(p+1)A+(8-p)C+\frac{\gamma}{2}\phi]} + \beta_p e^{2[\beta_p \phi + (p+1)A]} H_{p+2}^2 \end{aligned}$$

Harmonic gauge

H vs h: Symmetry:

$$\begin{aligned} [A, C, p] &\longleftrightarrow [C, A, D-p-3] \\ [H_{p+2}^2, \beta_p; h_{p+1}^2, \beta_{p-1}] &\longleftrightarrow [-h_{p+1}^2, -\beta_{p-1}; -H_{p+2}^2, -\beta_p] \end{aligned}$$

New Variables:

$$\begin{aligned} U &= \phi + \{ [(p+1)\gamma - 2\beta_p]A + [(7-p)\gamma + 2\beta_p]C \}, \\ X &= (p+1)A + \frac{\gamma}{2}\phi + (8-p)C, \\ Z &= (p+1)A + \beta_p \phi \end{aligned}$$

Triangular for $\gamma = \gamma_c$:

$$\begin{aligned} U'' &= 0 \\ X'' &= \frac{T}{2} (\gamma^2 - \gamma_c^2) e^{2X} + \frac{H_{p+2}^2}{16} b e^{2Z} \\ Z'' &= \frac{T}{8} (8\beta_p \gamma - p - 1) e^{2X} + \frac{H_{p+2}^2}{8} \left[8\beta_p^2 + \frac{(7-p)(p+1)}{2} \right] e^{2Z} \end{aligned}$$

With curvature terms: setup for (spherical) black holes or branes

$D=4$ with Fluxes on $T^5 \times I$

(Mourad, AS, to appear)

- ❖ Five-form flux in IIB $\rightarrow \phi$ CONSTANT, SPATIAL INTERVAL of length ℓ

$$ds^2 = \frac{\Lambda^2 \eta_{\mu\nu} dx^\mu dx^\nu}{[\sinh(r)]^{\frac{1}{2}}} + \ell^2 [\sinh(r)]^{\frac{1}{2}} e^{-\frac{5r}{\sqrt{10}}} dr^2 + \left(\sqrt{2} \Phi \ell\right)^{\frac{2}{5}} [\sinh(r)]^{\frac{1}{2}} e^{-\frac{r}{\sqrt{10}}} d\vec{y}^2$$

$$\mathcal{H}_5^{(0)} = \frac{\Lambda^4}{\sqrt{2}} \frac{dx^0 \wedge \dots \wedge dr}{[\sinh(r)]^2} + \Phi dy^1 \wedge \dots \wedge dy^5$$

$$q_3 \Phi = N \quad q_3 = \sqrt{\pi} m_{Pl(10)}^4$$

$$\Lambda = i \gamma^0 \gamma^1 \gamma^2 \gamma^3 \sigma_2$$

- ❖ FINITE gs , BUT STILL CURVATURE SINGULARITY]

Used extensively: (Bergshoeff, Kallosh, Ortin, Roest, Van Proeyen, 2001)

- ❖ Split perturbations according to $SO(1,3) \times SO(5)$ [or $SO(4)$ for internal excitations]

- ❖ SUSY BREAKING $\sim 1/\ell$

- ❖ Tensor eqs:

- ❖ (+ Einstein eqs.)

$$\partial_{[\mu} b^{(2)}_{\nu]}{}^{lm} + \frac{1}{2} \epsilon^{lmnpq} \partial_n b_{\mu\nu pq} = -\frac{e^{-4A-4C}}{2} \epsilon_{\mu\nu\rho\sigma} \partial_r b^{\rho\sigma lm},$$

$$\partial_r b^{(2)}_{\mu}{}^{lm} = e^{2A+6C} \left(\partial^{[l} b_{\mu}{}^{m]} + \frac{1}{2} \epsilon^{\alpha\beta\gamma}{}_{\mu} \partial_\alpha b_{\beta\gamma}{}^{lm} \right),$$

$$\partial_\mu b^m - \partial_n b^{(2)}_{\mu}{}^{mn} = e^{-2C} \left[\frac{H_5}{2} h_\mu{}^m - e^{-6A} \partial_r b_\mu{}^m \right],$$

$$\partial_r b^m = e^{-2C} \left[\frac{H_5}{2} h_r{}^m - e^{10C} (\partial^m b - \partial_\mu b^{\mu m}) \right],$$

$$\partial_p b^p = \frac{H_5}{4} \left(-e^{-2A} h_\alpha{}^\alpha - e^{-2B} h_{rr} + e^{-2C} h_i{}^i \right) + e^{-8A} \partial_r b.$$

Stability with Fluxes on $T^5 \times I$

(Mourad, AS, to appear)

$$ds^2 = e^{2A(r)} dx^2 + e^{2B(r)} dr^2 + e^{2C(r)} dy^2$$

❖ NO instabilities for $k=0$, BUT mixings induce them for $k \neq 0$

❖ E.g:

$$M Z = m^2 Z$$

$$\begin{pmatrix} \mathcal{K}^2 + (-\partial + \alpha)_z (\partial + \alpha)_z & \frac{kH_5}{\sqrt{2}} e^{2(A-3C)} \\ \frac{kH_5}{\sqrt{2}} e^{2(A-3C)} & \mathcal{K}^2 + (-\partial + \beta)_z (\partial + \beta)_z \end{pmatrix} \alpha = \frac{C - A}{2}, \quad \beta = -\frac{5A + 3C}{2}$$

❖ PERTURBATIONS \rightarrow Schrödinger-like systems

$$m^2 \Psi = (b + A^\dagger A) \Psi$$

$$A = \frac{d}{dr} - \alpha(r)$$

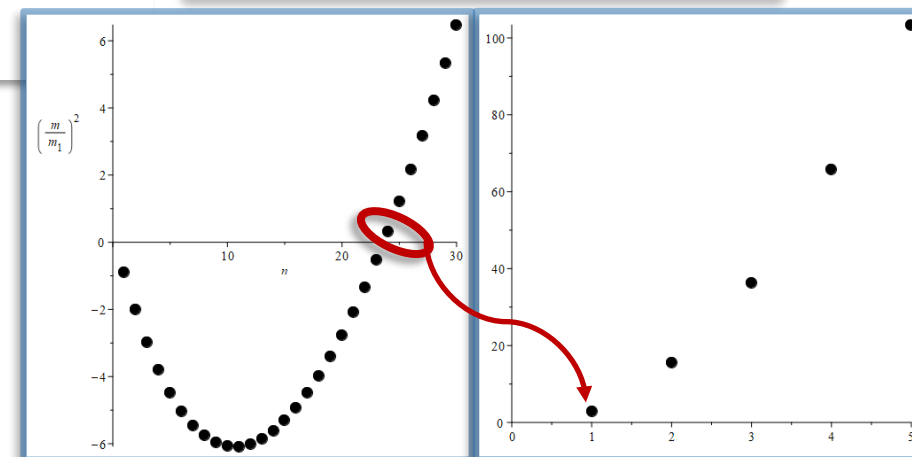
❖ Variational method:

$$m_{\Psi}^2 = \frac{\langle \Psi | \widetilde{M} | \Psi \rangle}{\langle \Psi | \Psi \rangle} \geq m_0^2$$

❖ Mixings \rightarrow unstable KK excitations

❖ BUT: NO link between 4D & internal scale

$$m_{Pl(10)} \ell > \mathcal{O}(10^2) N^{\frac{1}{4}}$$



Scalar Perturbations with Fluxes on $T^5 \times I$

(Mourad, AS, to appear)

- ❖ Here a Schrödinger-like system, but with a **non-symmetric potential**
- ❖ [NO NATURAL measure for self-dual form] → from Schrödinger-like system ?
- ❖ → The **eigenvalues for m^2 may be complex**
- ❖ **BASIC TOOL:** (extension of) variational principle

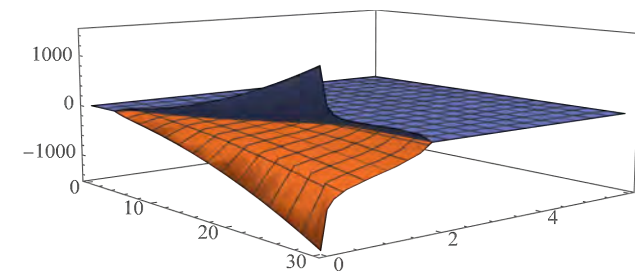
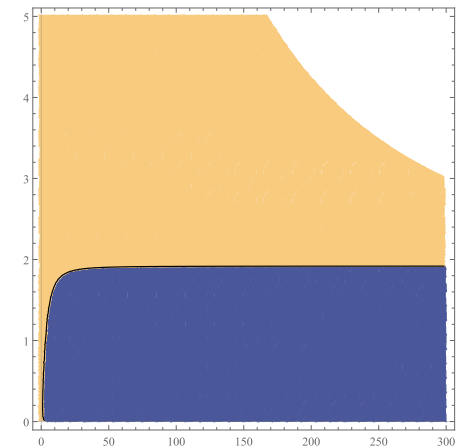
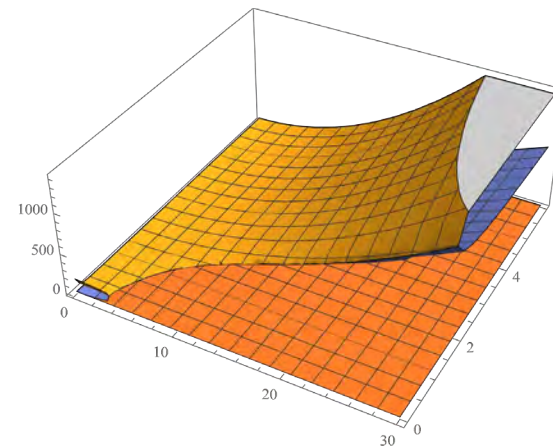
$$m_{\Psi}^2 = \frac{1}{2} \frac{\langle \Psi | (\widetilde{M} + \widetilde{M}^\dagger) | \Psi \rangle}{\langle \Psi | \Psi \rangle} \geq m_0^2$$

$$m^2 = \text{Inf} \left\{ \frac{\int dz \left[\partial_z Y^\dagger H^{-1} \partial_z Y + \frac{\epsilon(z)}{2} Y^\dagger (N - N^T) \partial_z Y + Y^\dagger H^{-1} U Y \right]}{\int dz Y^\dagger H^{-1} Y} \right\}$$

$$H^{-1} = 1 - \frac{F(z)}{2} (N + N^T) + \frac{F(z)^2}{4} N^T N$$

$$F = -\frac{1}{\sqrt{2}h} \int_{\frac{r}{\rho}}^{\infty} dx \frac{e^{\frac{x}{2\sqrt{10}}}}{[\sinh(x)]^{\frac{3}{2}}}$$

$$N = \begin{pmatrix} 0 & 1 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$



SOME EVIDENCE THAT:

NO Instabilities beyond a critical value H_{\min}
for the five-form field strength H_5

Summarizing

$$ds^2 = e^{2A(r)} dx^2 + e^{2B(r)} dr^2 + e^{2C(r)} dy^2$$

- More general (warped) compactifications to Minkowski space with fluxes
 - ❖ IIB to Minkowski₄ with Flux: g_s can be BOUNDED EVERYWHERE (not R, however!)
 - ❖ MODE ANALYSIS: complicated by modes of the self-dual H_5 , NO STANDARD ACTION!
 - ❖ BASIC TOOL: reduce to Schrödinger-like systems → VARIATIONAL METHOD for m^2 !
 - ❖ STABILITY: possible since internal scales leave no sign in Minkowski₄ !
 - ❖ [ONLY ONE peculiar sector with non-Hermitian Hamiltonian]

There seems to be room for stable 4D Minkowski vacua

ALSO: useful BENCHMARKS to explore brane deformations by dilaton tadpoles

NEXT TIME ...

Thank You